



2009 Annual Report

NEW YORK STATE OFFICE OF HOMELAND SECURITY



David A. Paterson
Governor



In the past year, several events have occurred across our State and Nation which serve as a stark reminder that our efforts to Safeguard New York are just as important today as they were in the days immediately following September 11th, 2001. The Riverdale/Newburgh plot in which four individuals intended to attack a Jewish Synagogue and a Jewish Community Center in New York City and the National Guard Base at Stewart Airport, and the Denver/New York City plot in which Najibullah Zazi admitted plans to carry out an attack on New York City's transportation system support the fact that terrorists are here within our State and we remain a target.

New York State has invested heavily in supporting our first responders by providing them with training and valuable resources so they are better prepared to protect our citizens and respond to events that may occur. Grant funding we receive from the federal Department of Homeland Security improves the ability of our State, counties, cities, and regions to implement New York State's Homeland Security Strategy. The Office of Homeland Security continues to work with our federal, State, local, tribal, and private sector partners to promote outreach and coordination, enhance information and intelligence sharing, strengthen our preparedness and response plans, and protect our Critical Infrastructure and Key Resources.

Internationally, acts of terrorism continue on almost a daily basis. Our first priority is to protect the citizens of New York State. However, we ask that you take an active role in helping to protect yourself, your loved ones, and your neighbors. Know the routines and be alert as you go about your activities, and take what you hear seriously. If you **See Something, Say Something!** Any suspicious activity should be reported to the New York State Toll-free Terrorism Tips Hotline. The hotline can be contacted 24 hours a day, 7 days a week at 1-866-SAFE-NYS (1-866-723-3697). All calls remain strictly confidential. We appreciate your efforts to Safeguard New York. Be Observant... Be Alert... Be Aware.



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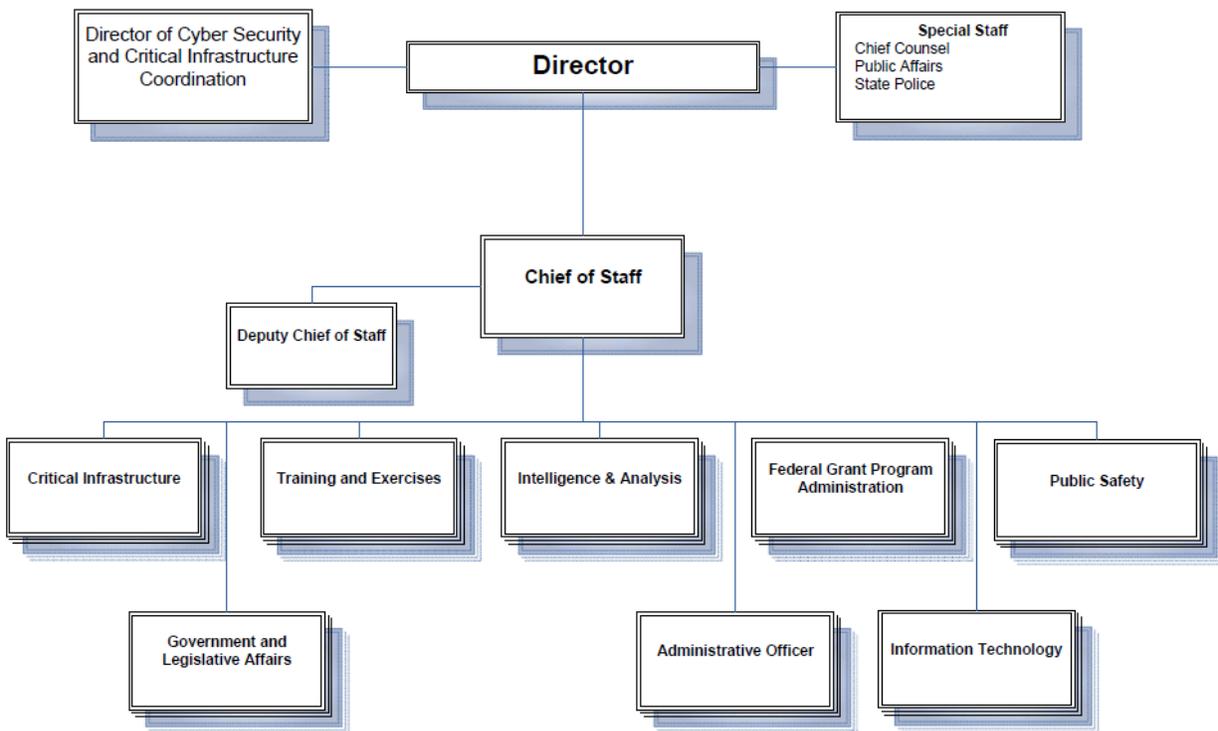


OHS Overview

History

As a result of the attacks of September 11, 2001, the New York State Office of Public Security (OPS) was created by executive order on October 10, 2001. The Office of Homeland Security (OHS) was codified on July 23, 2004 and as of January 2007, reports directly to the Governor through the Deputy Secretary for Public Safety (OPS was renamed the Office of Homeland Security as part of this codification). OHS coordinates the policies, protocols, and counter-terrorism strategies for New York State government agencies. The Office works directly with the federal Department of Homeland Security and local agencies and private-sector organizations involved in counter-terrorism.

Organizational Structure



Mission

The New York State Office of Homeland Security coordinates the State Homeland Security Strategy in an effort to prevent, protect against and prepare for terrorism and other hazards. There are many agencies, organizations and levels of government involved in the State’s homeland security activities. Accordingly, OHS works to promote outreach and coordination with our numerous stakeholders.



Divisions & Units

Intelligence	Collects, analyzes, and disseminates terrorist-related information received from a wide variety of international, federal, state, local, and private resources.
Critical Infrastructure Analysis and Protection	Coordinates critical infrastructure protection with public and private sector partners. Conducts assessments of critical infrastructure and key resources, and develops protection strategies.
Training and Exercise	Coordinates and provides first responders and other specialized groups with high quality training and exercise support. Facilitates the statewide implementation of the National Incident Management System (NIMS).
Federal Grant Program Administration	Develops the state strategy and application for federal homeland security funding. Coordinates with county and municipal governments on homeland security needs. Distributes and manages federal grants which address homeland security equipment, training, planning, exercise, and operational needs.
Public Safety	Works with New York's First Responder Community to enhance their preparedness and response capability. Coordinates federal, state, and local resources regarding Northern Border Security.
Cyber Security	The Office of Cyber Security and Critical Infrastructure Coordination (CSCIC) is responsible for leading New York State's efforts regarding cyber readiness and resilience; coordinating the process by which State critical infrastructure data is collected and maintained; and leading and coordinating geographic information technologies.
Administration and Finance	Maintains accountability of agency finances in accordance with State and federal requirements. Responsible for personnel and records management of OHS employees.
Government Affairs/ Legal	Monitors legislative developments in Congress, New York and neighboring states. Makes recommendations concerning homeland security legislation at both the federal and state level.
Information Technology	Develops, implements, and maintains collaborative information sharing systems with federal, state and local partners.



Highlights of 2009

Annual Threat Brief to Legislature

OHS is mandated by executive order to provide an annual threat briefing to members of the NYS Legislature by January 31st. The briefing must include information on the state's current threat level, global and national threat posture, imminent threats to New York State, and collaborative efforts among agencies designed to mitigate threats to New York State. The briefing is provided by the OHS Director and Assistant Director-Intelligence. This year's Annual Threat Brief to the Legislature took place on January 26th, 2010.

State Homeland Security Strategy

The 2009 State Homeland Security Strategy was officially released on April 23rd. It serves as a blueprint to help guide State and local homeland security planning and investment and was developed based on feedback from stakeholders across the State. For the first time ever, OHS has posted the Strategy on its website and is actively soliciting additional feedback through a dedicated email account (strategy@security.state.ny.us). The Strategy has been very well received and is being used to guide the State's homeland security efforts.

2009 Funding

In 2009, New York State received \$641 million in homeland security funding through 16 distinct grant programs. This is a 19.1% increase from 2008, when the State received 537 million in federal homeland security funding. OHS currently manages over \$1.8 billion in homeland security grant funds through the administration of over 1,300 contracts with state and local grantees.

Non-Profit Study

The NYS Legislature commissioned OHS to conduct a study of the security needs and resources available to assist not-for-profit institutions facing an increased risk of terrorist attack. OHS developed an on-line survey tool that was distributed to not-for-profits to obtain data from these organizations. OHS held a public meeting with the Not-for-Profit community on December 15th to explain this legislation, OHS' on-line survey tool and receive further input and comment from the Not-for-Profit community on their security needs. Not-for-Profit institutions were required to complete and submit OHS survey by January 15th. OHS received a robust response to its on-line survey from the Not-for-Profit Community and is currently analyzing the responses. OHS is required to submit its findings and recommendations to the Governor and Legislature by March 31st.

Recognition of Joint Terrorism Task Force, and State and Local Efforts

On May 20th four individuals were arrested by the FBI Joint Terrorism Task Force on charges of conspiracy to use Weapons of Mass Destruction in the United States and to acquire anti-aircraft missiles. These charges stem from a plot to attack a Jewish Synagogue and Jewish Community Center in New York City using explosive devices, and plans to shoot down a military aircraft at the Stewart Air National Guard Base. Soon after the arrests, OHS convened a teleconference with State and local law enforcement from across the state to share relevant information, and an advisory was sent to the law enforcement community emphasizing Operation Safeguard outreach to specific sectors and professions. Additionally, OHS in conjunction with the New York State Police, and Orange County Sheriff's Office recognized Guardian Self Storage of New Windsor, NY for recognizing and reporting suspicious activity at their facility associated with this case. In response to the foiled plot, OHS worked with the federal Department of Homeland Security (DHS) to award \$25,000 for each institution to upgrade their security systems.



Regional Meetings

In September and October OHS completed a series of seven regional stakeholder meetings across the State. The regional meetings were used to gather feedback from our local stakeholders on the homeland security grant process and the related OHS programs. The goal was to capture local needs, priorities and issues in anticipation of the 2010 federal grant process. The regional meetings are supported by the federal homeland security grant funds and are critical to effective stakeholder outreach and support. The attendees provided valuable, and mostly positive, feedback. Based on the feedback from the regional meetings, OHS developed a list of issues the agency is working to address on behalf of our local stakeholders.

State Preparedness Training Center (SPTC) Phase II

OHS continued to develop the SPTC located at the former Oneida County Airport in Oriskany, NY. OHS has a 15 year lease on the property and is in the process of Phase II renovations, which will include the development of additional classroom space. Phase II construction for the classrooms commenced in January 2010. OHS is also moving forward with the bid solicitation for the enhancement of the front entrance, and design studies for a Simulated Cityscape (to be used for "active shooter" and other scenarios), Weapons Training Complex and Emergency Vehicles Operations Course. OHS submitted a detailed five year construction plan and a proposed budget to the Division of the Budget in order to facilitate the Center's development. Classroom instruction continues to be held at off-site locations during this construction period. The new facilities will provide training resources that are not currently available in New York and will enable OHS to greatly enhance the capabilities of both State and local agencies.

Reversal of Sustainment Policy

On November 20th FEMA issued an Information Bulletin reversing their September 22nd policy decision to no longer allow homeland security grant funds to support ongoing equipment maintenance and sustainment costs. The decision came after State and local first responders across the country expressed the negative impact of this decision. Not being able to support equipment maintenance and sustainment with the grant funds would have resulted in a significant fiscal impact to New York State and compromised State and local response capabilities. OHS was a leading proponent of this policy change, and rallied state, local and federal support to reverse FEMA's decision. .



Prevent Acts of Terrorism Through Information Sharing and Intelligence Analysis

Intelligence Advisories

Intelligence Advisories are designed to provide relevant and timely information to public safety personnel across the state in an effort to enhance their knowledge of valuable intelligence. OHS, in coordination with the New York State Intelligence Center (NYSIC), developed and distributed twenty-six advisories in 2009 to law enforcement agencies and organizations throughout New York. More than 550 advisories have been issued to date.

Open Source Reviews

The New York State Office of Homeland Security distributes two weekly reports, the Eyes on Commercial Facilities Product and the Maritime Sector Open Source Week in Review. The products are designed to provide agencies and personnel with an interest in the areas situational awareness of worldwide events and developments as reported in the *Open Source* domain. No operational, classified or law enforcement sensitive information are contained within the reports.

Homeland Security Information Network (HSIN)

HSIN-NY is a web portal created by the Federal Department of Homeland Security, but maintained and managed by OHS. HSIN-NY is a key component for establishing an effective intelligence/information sharing and dissemination environment for our non-law enforcement stakeholders. The goal of this site is to provide one convenient and comprehensive information sharing portal where all stakeholders can find and submit information pertinent to the homeland security mission. OHS is currently working to develop and enhance use of HSIN-NY.

- During 2009 OHS had created a variety of new communities of interest/workgroups on the HSIN-NY site and registered many new users.

CrossFire

CrossFIRE is an intelligence product for the Fire Service, Emergency Medical Services (EMS), Emergency Managers and Hazardous Materials Teams. CrossFIRE is not a scheduled publication, but is produced and disseminated as important intelligence/information is received. Open source news articles are reviewed and included if they seem timely, actionable, and are a pertinent 'need to know' for NYS's first responders. OHS began issuing CrossFire in June of 2009 and has released five issues to date.

- CrossFIRE partners include OHS, the NYSIC, the Office of Fire Prevention & Control (OFPC) and the Department of Health (DOH) Bureau of EMS.
- The current distribution list includes:
 - County Fire Coordinators and Fire Chiefs in their counties.
 - Team leaders of the state's 100 Hazardous Materials Teams.
 - EMS coordinators and EMS agencies within their counties.
 - 911 coordinators who can share the information with dispatchers.
 - County Emergency Management Directors.



Infrastructure Threat and Risk Analysis Cell (ITRAC)

In July 2009 the Infrastructure Threat and Risk Analysis Cell (ITRAC) became operational at the NYSIC. ITRAC's purpose is to create and disseminate threat-and risk-informed analytic products that are practical, meaningful, and timely, and that influence the development and prioritization of infrastructure protection strategies and protective actions by public agency and private-sector security partners. Specific activities undertaken by ITRAC include:

- Review and update information on critical telecommunications hubs within the New York City area.
- Develop background information on the economic impact to Essex County with the loss of the Champlain Bridge.
- Ongoing review of the potential impact of counterfeit computer system hardware on the critical infrastructure operating systems.
- Formation of a working group of state and local representatives to examine the economic consequences of the loss of regionally significant critical infrastructure for the Buffalo Urban Area Security Initiative (UASI) comprised of Erie and Niagara Counties.

Terrorism Tips Hotline

Early recognition and reporting of potential terrorist activity is the first line of defense against those who intend to harm the citizens of New York State and its assets. The New York State Terrorism Tips Hotline is a mechanism to report any activity or events that appear to be unusual and suspicious. The hotline can be contacted 24 hours a day, seven days a week, and all calls remain strictly confidential. The phone number is 1-866-SAFE-NYS (1-866-723-3697).

- In 2009, The New York State Intelligence Center (NYSIC) received a total of 457 tips/suspicious activity reports. Federal, State and local law enforcement agencies continue to investigate tips in conjunction with the NYSIC.



Protect the People of New York and Our Critical Infrastructure and Key Resources

Critical Infrastructure Visits

The OHS Critical Infrastructure **Analysis** and Protection Division conducts site visits to review security measures at various facilities within New York State, and make recommendations to enhance both physical security measures and resiliency. The Division supports federal, state and local comprehensive risk analysis to reduce the Nation's vulnerability to terrorism and deny the use of critical infrastructure as a weapon by implementing plans and programs that identify, catalog, prioritize, and protect people and assets in cooperation with all levels of government and private sector. This work could not be accomplished without the sustained partnership with the federal Protective Security Advisors, New York National Guard's specialized infrastructure team, State and local law enforcement and emergency managers.

- Examples of sites visited in 2009 include bridges, hospitals, government facilities, water treatment facilities, rail yards, dams, reservoirs, financial institutions, liquefied natural gas facilities, nuclear power plants, pipeline facilities, and energy generating facilities.
- As part of the laws of 2005 regulating the sale of ammonium nitrate, thirteen joint site visits were conducted in 2009 with at businesses that store, blend, or are involved in the sale of ammonium nitrate fertilizer in New York State. Through these joint visits, owners and operators had an opportunity to meet with state representatives to review the concerns with the potential for the use of ammonium nitrate fertilizer in the manufacture of explosive devices and to discuss the federal initiative to regulate Ammonium Nitrate.

Regional Resiliency Assessment Program

In 2009 New York State was selected by DHS to participate in the Regional Resiliency Assessment Program (RRAP) with a focus on New York State's bridges. OHS in conjunction with DHS partnered with the Metropolitan Transit Authority, New York State Thruway Authority, and New York City Department of Transportation and created a study area from Rockland County to New York City. The program is designed to study the selected infrastructure through a number of on-site assessments with the intention of capturing dependencies, interdependencies, and characteristics of resiliency within the studied region. The results are used to enhance the overall security posture of the facilities, their surrounding communities, and the geographic region using short-term improvements and long-term, risk-based investments in equipment, planning, training, and resources.

- \$1.7 million in Buffer Zone Protection Plan grant funding was awarded to help prevent, and protect against hazards specific to the selected infrastructure.
- Local jurisdictions, private sector entities, and bridge owner operators were able to participate in a Multi-Jurisdictional Security Planning Workshop specifically related to New York State bridges.



Automated Critical Asset Management System (ACAMS)

Adopted by the Agency in 2008 as the system to protect and share sensitive information between federal, state, local and private sector partners. The Automated Critical Asset Management System (ACAMS) is a Web-enabled information services portal that provides role-based tools to help our state and local governments develop multi-hazard incident response and recovery plans, and strengthen public-private partnerships. The Critical Infrastructure Division has focused its ACAMS efforts on developing regional critical infrastructure protection capabilities by conducting training and providing technical assistance. In 2009 we enhanced our regional stakeholder capabilities by:

- Providing a 3-Day CIKR Asset Protection Technical Assistance Program (CAPTAP) for Erie, Monroe and Albany Counties and logging numerous joint visits. The program provides classroom and practical training for responders and CI subject matter experts on the National Infrastructure Protection Plan, the value of developing a comprehensive local infrastructure protection program and the steps and tools to implement.
- Partnering with local first responders to develop their vulnerability assessment skill sets and capabilities.

Transit Security

OHS continues to work with mass transportation systems and their security providers in the New York City Urban area to protect the traveling public and critical transportation infrastructure from terrorism. The NY/NJ/CT Regional Transit Security Work Group (RTSWG) a multi-state, multi-agency partnership remains the focal point for funding prioritization and coordination among the Region's and in fact the nation's largest and most critical mass transit system providers.

- In 2009 over \$153 million in base funding was awarded to the NYC/NJ/CT Region followed by an additional \$96 million awarded under the ARRA stimulus grant.
- In addition to the NYC metropolitan area, three other mass transit systems received over \$6 million in Transit security grant funding in 2009 they are:
 - Niagara Frontier Transportation Authority in the Buffalo Urban Area
 - Capital District Transportation Authority in the Albany Urban Area
 - Rochester – Genesee Transportation Authority
- OHS continues to support the Visible Intermodal Prevention and Response (VIPR) program that conducts operations nationwide. The purpose is to provide an added layer of security in the form of a visible uniformed presence to detect, deter and disrupt suspicious activity while installing confidence in the traveling public. VIPR teams often include behavior detection personnel, canine teams and other specialized assets that enhance the security posture within the transportation network.

Red Team Exercises

Red Team Exercises assess security, prevention, and response measures of New York State's commercial and private sector infrastructure to potential terrorist activity. These exercises coordinate the efforts of State and local law enforcement to assist community infrastructure owners and operators evaluate vulnerabilities and become better prepared to prevent an act of terrorism or other criminal activity.

- In 2009, 6 Red Team Exercises were conducted in New York State. Results of these exercises reinforced the importance of the Safeguard New York and Operation Safeguard programs, which promote the early recognition and reporting of potential terrorist activity.



Operation Stonegarden

Operation Stonegarden is a federal grant initiative intended to enhance law enforcement cooperation, coordination, preparedness and operational readiness cooperation among Federal, State, Local, and Tribal law enforcement agencies along the borders of the United States. In New York State, the county must have a land or international water border with Canada to be eligible to receive funding.

- In 2009, all twelve northern border counties and the St. Regis Tribal Police Department were eligible to receive funding. In 2008, only six counties were eligible to receive funding.
- New York State was awarded \$3.5 million in 2009 for Operation Stonegarden.



Strategic Police Intelligence Driven Enforcement Response (SPIDER)

SPIDER is New York State border security initiative which complements and pre-dates the Federal DHS Operation Stonegarden Grant Program. This program enhances border security along the New York/Canadian border and applies law enforcement assets in strategic locations to disrupt and deter criminal activity and to gain valuable information and intelligence.

- In 2009 OHS, in conjunction with State Police, Federal border agencies, and local law enforcement, coordinated and executed seven multi-agency, intelligence-driven border security operations.
- Two SPIDER maritime operations also took place along the Hudson River from New York City to Albany involving several different agencies. Two courses were also held to enhance the awareness level of law enforcement personnel that work with maritime sector assets.

SPIDER Operations in 2009	
Operation D-Con (Thousand Island Area)	Operation SHIELD (Eastern end of Long Island)
Operation The Grinch (Buffalo)	Operation Hudson River (Hudson River)
Operation Ice Cold II (St. Lawrence & Franklin County)	Operation Joint Accord (St. Lawrence, Franklin, and Jefferson Counties)
Operation Honest Abe (Clinton County)	Operation Mayflower (Niagara, Erie, and Chautauqua Counties)

Project North Star (PNS)

Project North Star (PNS) provides Canadian and United States law enforcement personnel with a mechanism to enhance communication, cooperation, and partnership. PNS strives to provide an orderly method for local, state/provincial and federal law enforcement agencies and associations to voluntarily coordinate their efforts so as to expand and enhance multi-agency operations and avoid unwarranted duplication and accidental interference between independent operations. Collectively, PNS addresses border related crime and security issues and presents consensus positions to support decision-making by the leadership of government agencies/entities.



- On November 23rd-24th, 2009, the Project North Star Eastern Region Conference was held at the New York State Police Academy. The conference was attended by over one hundred fifty people representing both the United States and Canadian law enforcement agencies. OHS PSD presented an overview of SPIDER and Stonegarden with the New York State Police at this conference.

Cyber Security Programs and Initiatives

Cyber Security and Analysis Center (Cyber Center)

CSCIC's 24X7 Cyber Security and Analysis Center (Cyber Center) is focused on cyber infrastructure protection, prevention, response, and recovery. The Cyber Center provides cyber security monitoring and managed services, including incident response, to New York State agencies to help strengthen our cyber security posture. CSCIC is currently monitoring 32 New York State agencies (Executive and non-Executive branch) and the City University of New York through its Cyber Center. This collective view across multiple entities helps enhance our situational awareness regarding cyber threats.

- The Cyber Center reviewed more than 27 billion firewall and intrusion detection/prevention system logs from its New York State customers in 2009.
- In addition to the monitoring, management and incident response services, CSCIC also conducts external vulnerability assessments for agencies. These scans of agency network perimeters help identify vulnerabilities and other potential security issues in order for agencies to address them before they can be exploited. In 2009, 74 State agencies were being scanned on a monthly basis.

Policy Development and Compliance

The NYS Cyber Security Policy (P03-002) defines a set of mandatory minimum security requirements that all Executive branch State entities must meet. This Policy also serves as best practice for non-Executive branch agencies such as the Office of the State Comptroller, the Office of the Attorney General, and the campuses of the State University of New York and the City University of New York.

- In July 2009, an updated version of the Statewide Encryption Standard was issued, which includes requirements for encryption of personal, private or sensitive data in transit.
- Nine information classification training sessions were held throughout NYS in 2009 to promote use of the Information Classification and Control Policy and an associated Standard, which are intended to assist State agencies in inventorying information assets and determining the proper protective measures for those assets. One of the training sessions was videotaped and was added to the cyber security training resources available on the CSCIC website in September.

NYS Information Security Breach and Notification Act

This Law requires that state entities, persons or businesses which do business in New York disclose to a New York resident when their private information was, or is reasonably believed to have been, acquired by a person without valid authorization. Notification must also include CSCIC.

- In 2009, more than 400 breaches were reported, impacting more than 1.1 million records of New York State residents; this is a slight decrease from 2008.
- In January, Governor Paterson signed a proclamation recognizing 2009 Data Privacy Day in New York State.



Cyber Security Training, Education and Awareness

CSCIC provides a number of education and awareness material, including cyber security advisories, guides, videos, monthly newsletters and daily cyber tips designed to promote cyber security knowledge across a broad audience of users. The information is available via the public website at www.cscic.state.ny.us. Cyber Security outreach events which occurred during 2009 include:

- Nearly 900 participants were part of the 12th Annual NYS Cyber Security Conference took place in Albany during the month of June. Experts from government, academia and industry presented on cutting edge information on a board range of cyber security topics.
- CSCIC is coordinating New York State's participation in U.S. Cyber Challenge and participated in the launch of the program with Congresswoman Yvette Clarke in September at the Science, Technology and Research (STAR) Early College School at Erasmus Hall High School in Brooklyn. The U.S. Cyber Challenge competition is a national talent search and skills development program designed to identify 10,000 young Americans with the interests and technical computer skills to fill the ranks of cyber security practitioners, researchers and defenders to protect our nation.
- In October, CSCIC coordinated the State's participation in National Cyber Security Awareness Month. The National theme for 2009 was "Cyber Security is Our Shared Responsibility." Governor Paterson issued a proclamation designating October as Cyber Security Awareness Month in New York. In support of Cyber Security Awareness Month, CSCIC made Cyber Security Awareness toolkits available through its public website.
- In December, CSCIC, in cooperation with the Governor's Office of Employee Relations, made the Cyber Security Awareness computer-based training available to all State agencies at no cost. This training is also available nationwide to all State governments, local governments, K-12, and higher education. At the end of 2009, the training had been accessed by 49 State agencies.

Public/Private Sector Cyber Security Workgroup

Recognizing that the majority of critical infrastructure is either owned or controlled by the private sector, CSCIC has worked closely with the major private sector entities to increase awareness and preparedness to defend against cyber attacks. The Public/Private Sector Cyber Security Workgroup comprises private sector high-level executives and public sector commissioners representing the major critical infrastructure sectors. Each of the sectors meets monthly via conference call and quarterly via webcast. CSCIC expanded its reach to include representatives from the public and private sectors in New Jersey in order to take advantage of cross-state collaboration and foster enhanced information sharing. CSCIC will look to include additional states and entities in the coming year.

Multi-State Information Sharing and Analysis Center (MS-ISAC)

The MS-ISAC, which is managed by CSCIC, provides a central resource for gathering information from the states regarding cyber threats to critical infrastructure and providing two-way sharing of information between and among the states and with local government. All 50 states and the District of Columbia, along with local governments and US Territories now participate in the MS-ISAC.

MS-ISAC Cyber Security Operations Center

The MS-ISAC Cyber Center conducts ongoing analysis of cyber vulnerabilities, threats, exploits and attacks. The States of Alaska and Montana, as well as the Los Angeles World Airports contract with New York State for these cyber security monitoring and managed services, which include incident response.



This arrangement is a unique and historic approach to information sharing and cyber security intelligence gathering. This collaboration and knowledge transfer serve as tremendous benefits to increasing our situational awareness and enhancing our ability to protect, detect, respond and recover from a cyber security incident.

- The MS-ISAC reviewed more than 47 billion logs in 2009 (including New York State).

Federal Appropriation for Expansion of Cyber Security Services

New York State received \$3 million in federal funding in late 2009 as part of the 2010 Department of Homeland Security Appropriations Act to help protect State and local government networks from cyber security attacks and incidents. The federal appropriation will enable significant program enhancements including expanding the MS-ISAC services to provide real-time cyber threat detection and prevention for additional state, local and territorial governments. This expanded infrastructure will provide a representative sample of system and network activity for enhancing situational awareness not only of New York State's cyber security infrastructure, but that of state, local and territorial government networks across the country.

Geographic Information Systems/Critical Infrastructure

CSCIC leads the State's Geographic Information Systems (GIS) program to ensure that all levels of government have access to the core, shared resources needed to use GIS. By providing centralized coordination, stakeholders can take advantage of GIS at the lowest aggregate cost. New York State is nationally recognized as having one of the most advanced statewide GIS coordination programs.



Critical Infrastructure Response Information System

Critical Infrastructure Response Information System (CIRIS) is a secure, internet-based, spatial data warehouse and mapping application which provides access to an extensive repository of critical infrastructure and geographic information systems data. The system has a unique search engine that permits users to easily find information from among more than 13 million records in 500 mapped data layers. This information assists law enforcement, emergency preparedness and homeland security personnel in their daily activities.

- In 2009, nearly 1,300 authorized users have access to CIRIS, an increase of more than three-fold from 2008.

Digital Orthoimagery Program

CSCIC coordinated the implementation of the 2009 aerial orthoimagery program, which provides high resolution aerial photography of the State. This aerial imagery forms the geographic base layer upon which other GIS data layers are referenced, allowing effective integration at all levels of government.

Streets & Addresses Database

CSCIC maintains the State's Streets & Addresses Database which encompasses 135,000 miles of roadway and more than 3,000,000 address points. Street and address data is critical to counties for E-911 and to CSCIC for CIRIS and other applications. A consistent, coordinated approach to maintaining street and



address information is critical. CSCIC continues to work with public and private sector entities to maintain this crucial information.

- In 2009, more than 47,000 data edits to the street network were processed.

Emergency Response GIS

CSCIC provides GIS support for New York State's emergency response. The staff has a range of GIS and emergency response experience and is trained in GIS techniques and the Incident Command System (ICS) structure used in managing responses. CSCIC GIS staff support is provided at the State Emergency Management Office Emergency Operations Center and in field deployments as part of the multi-agency Incident Management Team (IMT) which deploys to events within and outside of the State.

- In 2009, CSCIC GIS staff mobilized in support of the response to the crash of Flight 3407 in Clarence, NY and provided GIS support for the flooding in Erie and Chautauqua counties.
- CSCIC GIS staff participated in several response exercises in 2009 in collaboration with federal, state and local agencies.

GIS Coordination

CSCIC leads the coordination of shared GIS resources for state and local government.

- In 2009, the GIS Data Sharing Cooperative grew approximately 6% to include 871 members representing federal, state, and local governments, along with sovereign nations and not-for-profits.



Prepare First Responders by Providing Necessary Training and Resources

Training Accomplishments

The New York State Office of Homeland Security coordinates and provides first responders and other specialized groups with high quality training and exercise support. The purpose of these activities is to better prepare personnel to plan for, and respond to, various incidents that may occur. Courses are taught at the State Preparedness Training Center (SPTC) located in Oneida County, at other locations through use of mobile training teams, or through placements in out-of-state-residential consortium classes. In 2009, OHS taught or facilitated 308 courses for 8,282 students.

- At the State Preparedness Training Center OHS sponsored 66 courses for 1,573 students, and 22 other organizations presented 86 courses for 2,193 students at the Center during 2009.
- In 2009, Mobile Training Teams taught 156 courses for 4,516 students throughout the state, with an emphasis on the New York City Urban Area Security Initiative region.
- OHS placed 1,134 students in out-of-state-residential consortium classes this past year. These locations include Texas Engineering Extension Services (TEEX), the Center for Domestic Preparedness (CDP) and Counter Terrorism Operations Support (CTOS) at the Nevada Test site, and New Mexico Tech.
- OHS worked with several agencies, including the State Emergency Management Office (SEMO) and the Office of Fire Prevention and Control (OFPC) to develop a joint training calendar that provides one-stop shopping to first responders interested in homeland security instruction. The calendar went live on the "Aware Prepare" website at www.nyprepare.gov in November 2009. This project is consistent with the Governor's desire to promote shared services.
- OHS partnered with the National Center for Security & Preparedness in 2009 to promote a variety of common training interests. The Center is affiliated with the Rockefeller College of Public Affairs and Policy at the University at Albany. The partnership included the development of a new course for first responders on the Indicators of the Terrorist Attack Cycle.





Major Exercises

The Training and Exercise Division provided technical assistance in the development, simulation, and evaluation of 24 exercises in 12 jurisdictions across the state in 2009. This included two major state sponsored exercises.

- **Empire 09 Exercise:** OHS helped design, conduct, evaluate and participated in *Empire '09*, a series of three exercises that were sponsored by the US Department of Energy concerning the explosion of two dirty bombs in downtown Albany. The full-scale component was held on June 2-4, and the tabletop recovery component on June 16-17. The exercise was coordinated by the State Emergency Management Office (SEMO) and included over 550 participants from more than 30 federal, state and local agencies.
- **Vigilant Guard Exercise:** Vigilant Guard was one of the largest full-scale exercises ever held in New York with more than 4,000 participants. Coordinated by the National Guard (Division of Military and Naval Affairs), the exercise used an earthquake scenario and included numerous federal, state and local first responder agencies. OHS participated in the table-top portion of the exercise in August and supported the full-scale exercise in Niagara County in November of 2009.



Homeland Security Exercise and Evaluation Program (HSEEP)

HSEEP instruction provides local officials with the skills they need to design conduct and evaluate high quality exercises. In cooperation with the State Emergency Management Office (SEMO), OHS provided training on the HSEEP program, specifically in regards to exercise design and evaluation. In 2009, instruction was provided for 116 individuals.



State Preparedness Training Center (SPTC)



OHS continues to develop the SPTC located at the former Oneida County Airport in Oriskany, NY. OHS has a 15 year lease on the property and is in the process of Phase II renovations, which includes the development of additional classroom space. The SPTC is one of only two training facilities in the State and the only multidisciplinary academy in the nation to receive accreditation from the Commission on Accreditation for Law Enforcement Agencies (CALEA). OHS has established a workgroup to help support the development of the SPTC and is using focus groups to research possible SPTC training resources.



Training and Exercise Plan Workshop

OHS worked with the State Exercise Coordination Committee to sponsor the State Training and Exercise Plan Workshop in November 2009. This workshop is a required activity of the Homeland Security Exercise and Evaluation Program (HSEEP). The 35 attendees included members of the Committee as well as representatives of state agencies and OHS' federal partners. The meeting provided a forum to discuss the State Homeland Security Strategy and to schedule training and exercises that will support it.

National Incident Management System (NIMS)

NIMS is the overarching structure and guidance used to ensure agencies at all levels of government, the private sector, and nongovernmental organizations work seamlessly to prepare for and respond to incidents. NIMS compliance is a requirement to receive federal homeland security grant funds; OHS coordinates NIMS activities in New York State. OHS works with our partner agencies to develop and release the annual NIMS Implementation Strategy to help State and local stakeholders meet the NIMS objectives. In 2009, OHS made 6 presentations, sponsored 2 webinars, and organized a teleconference to advise State and local stakeholders about the 2009 NIMS Implementation Strategy.

- In 2009, OHS submitted the annual NIMS compliance report to the Federal Emergency Management Agency (FEMA). This report highlighted the fact that all 57 counties, New York City, and 34 state agencies are compliant with the 2008-2009 NIMS objectives.

Mobile Emergency Response Cache (MERC) Trailer Program

Partnering with the Office of Fire Prevention and Control (OFPC), through the Logistics Center OHS sustained the deployment, maintenance and calibration of specialized detection and response equipment in over 172 first responder trailers located in counties throughout the State. This year a survey was conducted with trailer users as to the usefulness of these assets which are assigned to their jurisdictions. Data is still being analyzed, but preliminary findings indicate a strong dependence on this resource, and local shareholders need the State to sustain this valuable equipment.

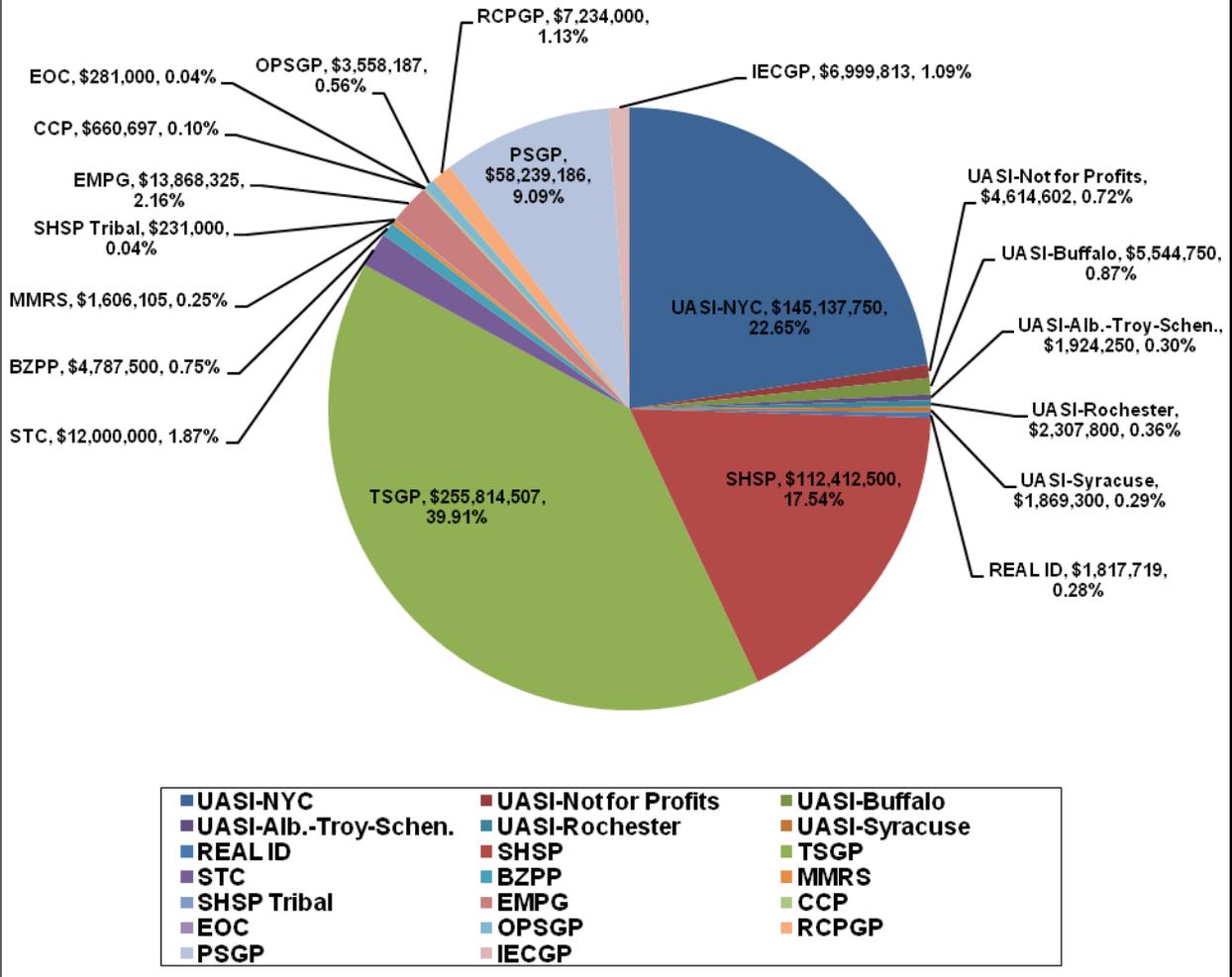
Overview of 2009 Grant Numbers and Trends

OHS serves as **State Administrative Agency (SAA)** for Federal Homeland Security Grant Programs and funding throughout the State. OHS provides direct oversight on receipt and disbursement on federal grant programs in accordance with applicable State and Federal regulations and guidance. Contracts and Monitoring is part of this process, and 2009 activities included 180 site visits and 581 contracts monitored.

On June 16th, the Federal Department of Homeland Security announced that New York State will receive approximately \$283 million in homeland security funding, including \$112.4 million for the 2009 State Homeland Security Grant Program and \$145.1 million for the 2009 New York City Urban Area Security Initiative. The funding will allow communities and first responders across the State to better prepare for and respond to terrorist attacks, natural disasters and other emergencies. The total allocation represents a 14.6% increase from 2008 funding levels. The funding announced on June 16th is in addition to previously announced programs totaling \$238.1 million, bringing NYS's total 2009 homeland security funding total to approximately \$521.7 million. This is about \$12.9 million more than last year. As always, the majority of this funding (at least 80%) is required to go to local government. Below are the top few among the many grants that NYS received and OHS awarded for FY 2009.

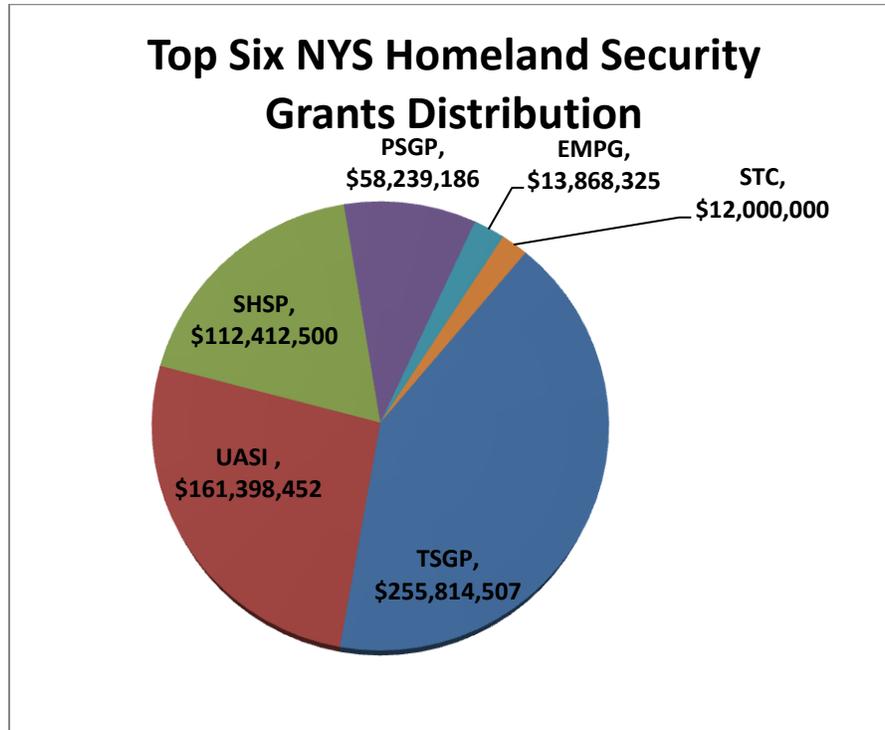


NYS Homeland Security Grants Distribution - 2009
Grants Total: \$640,908,991

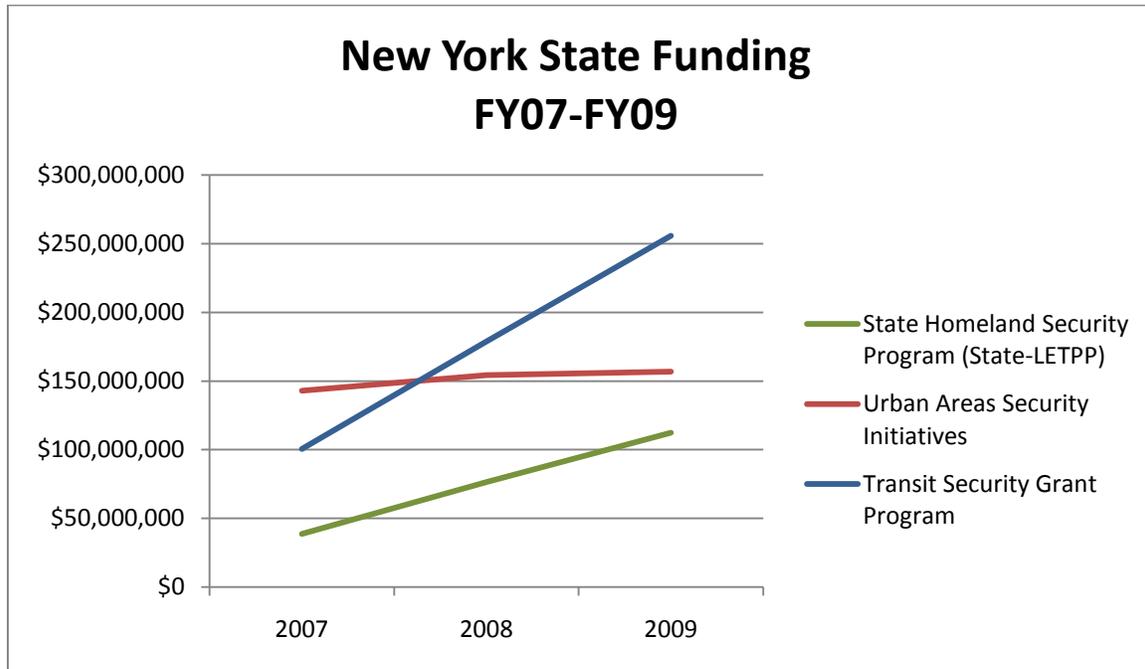


NYS Homeland Security Grant programs:

State Homeland Security Grant Program (SHSP)	Urban Area Security Initiative (UASI) including (SLETPP) and (SLHD)
Transit Security Grant Program (TSGP)	Public Safety Interoperable Communications Grant Program (PSIC)
Buffer Zone Protection Program (BZPP)	Interoperable Emergency Grant Program (EMPG)
Nonprofit Security Grant Program (NSGP)	Emergency Operations Grant Program (EOCGP)
Operation Stonegarden Grant Program (OPSG)	Emergency Management grant Program (EMPG)
Metropolitan Medical Response System (MMRS)	Regional Catastrophic Planning Grant Program (RCPGP)
Port Security Grant Program (PSGP)	Commercial Equipment Direct Assistance Program (CEDAP)
Citizen Corps Program (CCP)	



- **Transit Security Grant Program (TSGP): \$255,814,507** to support transportation security initiatives in the NYC Metropolitan Area as developed and coordinated through the NY/NJ/CT Regional Transit Security Working Group. Included in this amount is \$6.5 million in funding to support Tier 2 transit system security projects in Albany, Rochester, and Buffalo Urban areas.
- **Urban Areas Security Initiative (UASI): \$156,783,850** - The 2009 UASI grant will provide a total of \$156,783,850 to New York State’s five urban areas, which represents a 1.6 % increase from the State’s 2008 award. The UASI program provides funding to address the unique needs of high-threat, high-density Urban Areas, and assist them in building an enhanced and sustainable capacity to prevent, protect against, respond to, and recover from acts of terrorism. UASI areas include New York City, Buffalo, Albany – Schenectady –Troy, Rochester, and Syracuse.
- **State Homeland Security Program (SHSP): \$112,412,500** - SHSP supports the implementation of the NYS Homeland Security Strategy to address the identified planning, organization, equipment, training, and exercise needs for acts of terrorism and other catastrophic events.
- **Port Security Grant Program (PSGP): \$58,239,186** to protect critical port infrastructure from terrorism, enhance maritime domain awareness and strengthen risk management capabilities in order to protect against improvised explosive devices and other non-conventional weapons; conduct training and exercises; and implement the Transportation Worker Identification Credential.
- **Emergency Management Performance Grant (EMPG): \$13,868,325** for State and local governments in enhancing and sustaining all-hazards emergency management capabilities. The State Emergency Management Office (SEMO) administers this grant program.
- **Secure the Cities (STC): \$12,000,000** to develop and implement a radiological detection and interdiction program. Further information on this program is found on page 25.



Targeted Grants

In 2009, OHS significantly expanded our “targeted” grants to enhance specific regional capabilities. These targeted grant awards included:

- **Companion Animal Sheltering Equipment;** provides regional equipment cashes to develop statewide animal sheltering capability through a regionalized network of mobile sheltering equipment trailers. This year, seven awardees received grants totaling \$250,000.
- **Technical/Urban Search and Rescue;** develops and enhances search and rescue capabilities through targeted planning and equipment acquisition activities. In 2009, nine regional partnerships received \$500,000 in funding.
- **Explosive Detection Canine Team;** assists local, county and tribal law enforcement agencies in developing explosive detection canine team capabilities as a component of their active road patrol. This year six awardees received this grant totaling \$250,000.
- **Bomb Squad Preparedness;** provides targeted funding to the State’s 11 FBI-certified Bomb Squads to enhance their Explosive Device Response Operations (EDRO) capabilities. This year there were eleven awardees totaling 1,400,000.
- **Hazardous Materials (HazMat) Grant Program;** develops regional HazMat partnerships across the State to enhance our Weapons of Mass Destruction (WMD)/ HazMat response and decontamination capabilities. This year HazMat teams of two or more could apply to receive a portion of the \$1,000,000 funding.
- **H1N1 Grant to Local Health Departments;** supports health emergency preparedness initiatives conducted by Local Health Departments (LHD) in light of the H1N1 crises. 56 counties received \$3,750,000 in cumulative funding under the State’s LHD (SLHD) program.



“Securing the Cities” (STC) and Statewide Radiation Detection and Interdiction

OHS continues to work with the DHS Domestic Nuclear Detection Office (DNDO), STC partner agencies including the NYPD and relevant New York State and local agencies to develop and implement a radiological detection and interdiction program. OHS is working on a Memorandum of Understanding (MOU) with the NYPD regarding OHS oversight of state agencies with regard to 2008 and 2009 funding of radiological equipment acquisition and training under the STC program. Based on the STC model used in the NYC Metro area, a State Radiation Detection and Interdiction Working Group is working to develop an Upstate Radiological Security Program. In May this program was expanded into the greater Rochester-Monroe County region, building off existing capabilities developed in the Syracuse and Buffalo regions.



- Memorandum of Understanding (MOU): Participating agencies include the Division of State Police, Department of Environmental Conservation Marine Enforcement Division, Department of Health, NYS State Parks Police, Division of Military and Naval Affairs – Civil Support Teams and State Emergency Management Office.
- “Urgent Action III”: “Urgent Action III,” a radiological detection and interdiction exercise took place in May 20-21 in Rochester. Participating agencies included the State Police, State Dept. of Environmental Conservation, FBI, Rochester Police Department and the Monroe county Sheriff Office.

Cost to Capability Pilot

New York State was selected by DHS to participate in a nationwide pilot program to test a system designed to measure the effectiveness of homeland security funding. In addition to NYS, NYC and 16 other jurisdictions from across the country participated in the “Cost-to- Capability” (C2C) Pilot. OHS worked to gather feedback from State and local stakeholders as part of the pilot and did identify a variety of concerns that have been shared with DHS. Pilot activities have concluded, but we will continue to monitor the C2C initiative and other efforts to measure the impact of homeland security funding.



Promote Outreach and Coordination *with Our Stakeholders*

Safeguard New York

Safeguard New York is designed to educate community members, businesses and organizations on how to recognize suspicious activity that may be connected to crime and/or terrorism, and encourages them to take action to protect themselves. This outreach promotes early recognition and reporting of potential terrorist activity to the New York State Terrorism Tips Hotline at 1-866-SAFE-NYS (1-866-723-3697).



- In 2009, Safeguard program outreach was conducted during numerous presentations, trainings, exercises, special operations, meetings, conferences, and partnerships with other state agencies, businesses, and organizations across the state.
- Examples of this outreach for the 2009 year include a partnership with the New York State Department of Transportation, providing awareness materials to their employees who regularly travel the roads throughout our state. Increased outreach to Fire and EMS community at conferences and the first responder community through training courses, and special presentations to groups such as the Dairy Association are also examples of audiences targeted with Safeguard this past year.

Aware Prepare

Aware Prepare is a program which provides the public with the simple steps they should take to prepare themselves and their families for disasters and other emergencies. The website, www.nyprepare.gov, is promoted through agency outreach activities throughout the year to encourage citizen preparedness year round, but particularly in support of National Preparedness Month.



- In September of 2009, in conjunction with National Preparedness Month, nearly two dozen state and local agencies and organizations participated in the second annual New York State Preparedness Expo at the Empire State Plaza and shared preparedness related information with the state workforce and members of the general public.
- Newly released this year were two Public Service Announcements (PSAs) promoting citizen preparedness, as well as a brief preparedness video emphasizing the four simple steps individuals should take to ready themselves and their families for potential disasters. These PSAs are posted on the Aware Prepare website.



New York Alert

New York Alert (NY-ALERT) is New York State’s all-hazard alert and notification system. By visiting www.nyalert.gov subscribers can sign up and choose to receive emergency information and various other useful alerts such as weather events, health advisories, and incidents impacting traffic flow. These messages are disseminated through a variety of means including, but not limited to, e-mail, a telephone call at home, work, or through a cellular phone, texts messages, fax, pager, and through RSS web-feeds.

- In 2009 OHS continued its partnership with the New York State Emergency Management Office (SEMO), which leads the NY-ALERT program, promoting the importance of this valuable notification system in conjunction with agency presence and outreach at various events.
- Version 2.0 of NY-ALERT was released in September 2009, providing additional notification options and settings.

Law Enforcement Outreach

For the purposes of sharing information and intelligence amongst the various Federal, State, and Local Law Enforcement agencies, New York State is divided into 16 Counterterrorism Zones (CTZs).



- In 2009 OHS sustained outreach efforts to Law Enforcement agencies through the State by attending regional CTZ meetings, facilitating quarterly CTZ conference calls among CTZ Chairs, and through quarterly meetings of the Executive Committee for Counterterrorism (ECCT).
- Numerous presentations were conducted to management, supervisory, and law enforcement personnel across the state through the 16 CTZs.

Executive Committee on Counterterrorism/Counter Terrorism Zone (CTZ) Meetings

Co-Chaired by OHS and the State Police, the Executive Committee on Counterterrorism (ECCT) includes State and local law enforcement representatives from each of the 16 Counterterrorism Zones (CTZ) in New York State. OHS has sustained outreach efforts to the law enforcement community throughout the State by sharing information/advisories with the law enforcement community, attending regional CTZ meetings and facilitating CTZ conference calls, including a call in response to the ongoing terrorism investigation mentioned above. In addition, OHS participated in the quarterly ECCT meeting held on September 17 at the NYS Police Academy in Albany.





Governor's Homeland Security Executive Council (HSEC)

Chaired by OHS, the HSEC brings together senior officials from the Executive Chamber and State Agencies/Authorities to discuss relevant and emerging homeland security issues. The HSEC met twice in 2009 to discuss a variety of issues, including the H1N1 influenza outbreak, the updated State Homeland Security Strategy and the 2009 Federal Homeland Security Grant funding request.

Homeland Security Strategy Work Group

OHS hosted monthly interagency meetings of the Homeland Security Strategy Work Group (HSSWG), a multi-agency group of State agencies that works to develop the State's annual federal homeland security grant investment justifications in support of the State Homeland Security Strategy. This group is also Chaired by OHS.

Bomb Squad Symposium

The New York State Office of Homeland Security sponsored the 4th annual "Bomb Squad Symposium" from February 24-26 in Saratoga Springs. This event brought together over 50 local, state, federal and international bomb disposal experts to receive training, share lessons learned and best practices, and to reinforce relationships. Distinguished practitioners in bomb disposal, including a guest instructor from the United Kingdom, shared their knowledge and experience with the group.

Hazardous Materials (HazMat) Leadership Forum

Using federal grant funds, OHS sponsored the HazMat Leadership Forum on October 10-11 in Vernon, New York. Nearly 50 HazMat Team leaders came together to share lessons learned and best practices, learn more about the 2009 HazMat Grant, and receive information/updates on other topics of interest.



Building Owners Management Association (BOMA)

The Building Owners and Managers Association of Greater New York (BOMA/NY) is a real estate industry group representing the owners/managers of approximately 400,000,000 square feet of office space in the New York City metro area. The OHS Critical Infrastructure Protection Division regularly attends the monthly meetings of the BOMA/NY Preparedness Committee which meets to discuss emergency preparedness, building security, information sharing and related issues. OHS works with the committee to share information for the group's situational awareness and provides assistance for BOMA/NY emergency preparedness related initiatives when appropriate.

- In 2009, members of the BOMA/NY Preparedness Committee were registered as recipients of OHS' Eyes On: Commercial Facilities Intelligence Product.
- OHS also participated in security roundtable discussions and emergency planning seminars hosted by BOMA/NY members this past year.



Enhanced Outreach

OHS continued its critical core mission of outreach to counties and municipalities throughout the state including:

- Conducted seven Regional Workshops (Long Island, Poughkeepsie, Buffalo, Syracuse, Binghamton, Albany and Lake Placid) for over 300 attendees. The regional workshops were attended by County Emergency Managers, Fire, Police and Health Department Officials and provided a forum local input and assistance in anticipation of the 2010 federal grant process.
- Hosted an Urban Area Security Initiative (UASI) Conference on December 9th with over 80 participants from each of the State's five major urban centers (NYC, Buffalo, Syracuse, Rochester and Albany).

Participation in Community Forums

OHS regularly participates in community forums to meet with Federal, State, local and tribal stakeholders in both the public and private sector. In response to the 2009 foiled Riverdale/Newburgh terrorist plot, OHS participated in forums with the non-profit/faith-based community to discuss the plot and need for increased vigilance. These forums included an event with State Senator Eric Adams in Brooklyn on May 24th which focused on federal, state, local and private sector cyber security initiatives, and an event on June 28th in Queens with NYC Councilman James Sanders and members of the Jewish Community.



Conclusion

The New York State Office of Homeland Security remains committed to developing and maintaining key programs and initiatives in 2010 which support our first responders and protect the citizens of New York State.

- Moving forward, OHS will sustain and build working relationships with our federal, State, local, tribal, and private sectors – promoting outreach and coordination, enhancing information and intelligence sharing, strengthening preparedness and response plans, and protecting our Critical Infrastructure and Key Resources.
- In 2010, completion of Phase II of the State Preparedness Training Center (SPTC) construction and development of plans to further expand the facility and its capabilities will further support training and education opportunities of New York State’s first responder community, and our State, local, and private sector partners.
- OHS strives to maintain and increase grant funding provided by the Federal Department of Homeland Security, to be dispersed to homeland security partners across the state to enhance their preparedness and response abilities. Targeted grants will be used to continue to reduce identified gaps and increase specific capabilities.
- The New York State Homeland Security Strategy, which is posted on OHS’s public website, will continue to help guide State and local homeland security planning and investment efforts. Feedback on this strategy is encouraged and can be sent to strategy@security.state.ny.us.

Please be sure to visit our website at www.security.state.ny.us for further information about the New York State Office of Homeland Security and our initiatives. Thank you.

“IF YOU SEE SOMETHING, SAY SOMETHING!”

**Report Any Suspicious Activity to the
New York State Terrorism Tips Hotline at**

1-866-SAFE-NYS

1-866-723-3697

ACROSS NEW YORK STATE

1-888-NYC-SAFE

1-888-692-7233

IN NEW YORK CITY