



FY 2008

Urban Areas Security Initiative (UASI)

Program Guidance

NYS Office of Homeland Security

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I. Program Overview

The 2008 UASI program will provide financial assistance to address the unique multi-discipline planning, organization, equipment, training, and exercise needs of high-threat, high-density Urban Areas, and to assist them in building and sustaining capabilities to prevent, protect against, respond to, and recover from threats or acts of terrorism.

The 2008 UASI program is intended to enhance regional preparedness efforts. Urban Areas must use these funds to employ regional approaches to overall preparedness and they are encouraged to adopt regional response structures whenever appropriate. UASI program implementation and guidance must include regional partners and should have balanced representation among entities with operational responsibilities for prevention, protection, response, and recovery activities within the region. As the State Administrative Agency (SAA) for the UASI grant, the NYS Office of Homeland Security (OHS) must ensure that each NYS UASI takes an inclusive regional approach to the development and implementation of the UASI program and involve the contiguous jurisdictions, mutual aid partners, port authorities, rail and transit authorities, campus law enforcement, State agencies, Citizen Corps Councils, and MMRS jurisdictions in their program activities.

Activities implemented under UASI must support terrorism preparedness by building or enhancing capabilities that relate to the prevention of, protection from, or response to terrorism in order to be considered eligible. However, many capabilities which support terrorism preparedness simultaneously support preparedness for other hazards. Grantees must demonstrate the dual-use quality for any activities implemented that are not explicitly focused on terrorism preparedness.

Throughout the project period, the NYS Office of Homeland Security will be available to assist counties in enhancing their homeland security programs. For a list of key OHS grant contacts, please consult Appendix E of this document.

II. General Guidance, Requirements, and New Information

Directions for Completing Application (Guidance): To complete this application for FY08 UASI funds, grantees should review this Program Guidance document and the E-Grants Tutorial. Next, all budgetary and programmatic information must be entered in the NYS Office of Homeland Security's electronic E-Grants system (<https://grants.security.state.ny.us/AccessNotice.jsp>).

Deadline (Requirement): Applications are due to OHS in E-Grants by **October 30, 2008**.

Application Linkages (Requirement): All allocations and use of funds under the UASI must support your Urban Area Homeland Security Strategy and one or more of your Urban Area's Investment Justifications (Appendix B). Moreover, all allocations under the UASI must support the National Preparedness Goal, which includes the 8 National Priorities and the 37 Target Capabilities, and the DHS Workplan Codes (Appendix A). For more information on the National Preparedness Goal, please refer to <http://www.ojp.usdoj.gov/odp/assessments/hspd8.htm>.

New DHS Law Enforcement Funding Baseline (Requirement): In 2008, DHS mandated that 25% of UASI funds be allocated in support of law enforcement prevention-oriented planning, organization, equipment, training, and exercise activities.

New DHS Planning/IED Baselines (Requirement): In 2008, DHS mandated that 25% of UASI funding be allocated in support of¹:

1. Strengthening Improvised Explosive Device (IED) deterrence, prevention, and protection activities and/or
2. Strengthening preparedness planning

Period of Performance (New Information): The period of performance for FY08 UASI funds is 36 months (9/1/2008-8/31/2011).

E-Grants System (Support): If you have questions about OHS's E-Grants system, please consult the E-Grants Tutorial included in your award package. For questions not addressed in the packet, please contact OHS's Grant Hotline at (866) 837-9133.

E-Grants Tip (Guidance): In an effort to ease the application development process for grantees, the NYS Office of Homeland Security is encouraging counties to develop fewer projects under the "work plan" tab in E-Grants. For each project you develop, you will be able to list out the task(s) you will complete in support of that project. By combining related tasks under an overarching project, you will be able to submit a condensed application, thus reducing your workload.

Inter-County Coordination and Leveraged Funding (Requirement): Grantees are asked to describe how they coordinated the development of their FY08 UASI within their county (with county emergency management, law enforcement, public health, EMS, etc. at the county and sub-county level). Also, grantees are required to detail what other preparedness funding (UASI, EMPG, Citizen Corps, etc.) they have leveraged in support of the projects included in this year's UASI application.

FY08 NIMS Implementation (Requirement): All jurisdictions in NYS are expected to meet the FY08 NIMS implementation requirements imposed by the federal Department of Homeland Security. Please contact NYS's NIMS Information Coordinator, Dan Reardon, if you have questions about NIMS implementation. (Dan Reardon; NYS Office of Homeland Security; (315) 768-5681; nims@security.state.ny.us)

FY08 UAWG Charter (Requirement): FEMA is requiring that each UAWG submit a Charter before 2008 UASI funds are drawn down. This charter must include information in membership, governance structure, voting rights, grant management and administration responsibilities, and funding methodologies. The Charter must also outline how decisions made in UAWG meetings will be documented and shared with UAWG members. The Charter must be made available to all UAWG members to promote transparency in decision-making related to the UASI program.

¹ In 2008, FEMA also emphasized the importance of "Measuring Progress in achieving the National Preparedness Guidelines"; however, grantees are not required to spend a certain percent of their award on this priority.

Tribal Nations (Requirement): For recognized Tribal Nations with County boundaries, it is expected that applications will reflect homeland security-related concerns and interests of any recognized Tribal Nation contained in whole or in part within the county.

Drawdown of Funds/Interest (Requirement): Grantees may request an advance of funds. If OHS approves such request, grantees may drawdown funds for up to 120 days prior to expenditure/disbursement. Advanced funds must be placed in an interest bearing account and grantees must account for this interest earned. Local units of government may keep interest earned on Federal grant funds up to \$100 per federal fiscal year. This maximum limit is not per award; it is inclusive of all interest earned as a result of all Federal grant program funds received per year. Interest earned in excess of \$100 must be remitted to the NYS Office of Homeland Security.

Notice of Waiver for FY08 HSGP Funds (Guidance): Jurisdictions may choose from the following options if they do not want to accept their FY08 HSGP award:

1. Jurisdictions may request that the State retain the local unit of government's allocation of grant funds and spend it on their jurisdiction's behalf; or
2. Jurisdictions may refuse an allocation for their jurisdiction with the understanding that the allocation will be expended by the State of New York in direct support of other local homeland security efforts in accordance with FY08 grant guidelines.

If your jurisdiction wishes to exercise either of the above options, please contact the NYS Office of Homeland Security at (866) 837-9133.

III. Authorized Program Expenditures

Planning

Planning activities are central to the Urban Areas Security Initiative (UASI):

Allowable planning costs include:

- Public education and outreach
- Develop and implement homeland security programs and adopt ongoing DHS National Initiatives
- Develop and enhance plans and protocols.
- Develop and conduct assessments.
- Hiring of full or part-time staff or contractors/consultants to assist with planning activities (not for the purpose of hiring public safety personnel fulfilling traditional public safety duties).
- Conferences to facilitate planning activities.

For a complete list of allowable planning costs, please refer to Appendix C: Allowable Cost Matrix or

http://www.fema.gov/pdf/government/grant/hsgp/fy08_hsgp_allowplanning.pdf.

Reminder: 25% of your UASI award must be allocated in support of planning and/or IED projects and 25% must be allocated in support of law enforcement prevention-oriented activities.

Organizational Costs

UASI grantees may use up to 25% of their UASI award for the three allowable organizational costs outlined below:

1. Intelligence Analysts

- Grantees may hire new staff and or contractor positions to serve as full-time intelligence analysts at the State's designated primary fusion center, which is the New York State Intelligence Center (NYSIC).
- In order to be hired as an intelligence analyst, staff and/or contractor personnel must successfully meet at least one of the following criteria:
 - Successfully complete training to ensure baseline proficiency in "*Intelligence Analysis and Production*" within six months of being hired; and/or
 - Previously served as an intelligence analyst for a minimum of two years either in a Federal intelligence agency, the military, and/or State and/or local law enforcement unit.
- DHS has extended the period of time that federal grant funds can be used to hire and sustain an intelligence analyst from 2 to 3 years. After 3 years, the cost of sustaining the intelligence analyst must be absorbed by the local grantee.
 - Failure to sustain such positions will result in the disqualification of grantees from hiring intelligence analysts with Federal funds in future program years.
 - If your jurisdiction chooses to hire an intelligence analyst(s), OHS, as the SAA, must retain certification on file stating that you will assume responsibility for supporting the costs of the hired analysts following the three-year federal funding period. This certification must accompany your application in E-Grants.
- Funding over the three-year period of performance may not exceed \$412,500 (\$137,500 per year) for each new intelligence analyst position established with 2008 UASI funds, and this funding should include all necessary costs including equipment, software, licenses, and training for the individual.
- All intelligence analyst training should be in accordance with federal "Minimum Criminal Intelligence Training Standards for Law Enforcement and other Criminal Justice Agencies in the United States". These standards are available online at: https://it.ojp.gov/documents/min_crim_intel_stand.pdf
 - A certificate of all intelligence analyst training must be kept on file with the NYS Office of Homeland Security (OHS). Please contact your Program Representative in OHS's Contracts Unit to make arrangements for sending in training certificates for your intelligence analysts.

2. Overtime Associated with Information, Investigative, and Intelligence Sharing Activities

- Overtime costs are allowable for personnel to participate in information, investigative, and intelligence sharing activities specifically related to homeland security. This includes activities such as anti-terrorism task forces, Joint Terrorism Task Forces (JTTFs), Area Maritime Security Committees, DHS

Border Enforcement Security Task Forces, and Integrated Border Enforcement Teams.

- If your jurisdiction is considering using 2008 UASI funding for information, investigative, and/or intelligence-sharing overtime, please contact your Program Representative in OHS's Contracts Unit.

3. Operational Overtime Costs

- Operational overtime costs are allowable for increased security measures at critical infrastructure sites during DHS-declared period of Orange or Red threat levels. Subject to these elevated threat level conditions, 2008 UASI funds may be used to support select operational expenses associated with increased security measures at critical infrastructure sites in the following authorized categories:
 - Backfill and overtime expenses for staffing State or local fusion centers
 - Hiring of contracted security for critical infrastructure sites
 - Public safety overtime
 - National Guard Deployments to protect critical infrastructure sites, including all resources that are part of the standard National Guard deployment package
 - Increased border security activities in coordination with U.S. Customs and Border Patrol (CBP), as outlined in Information Bulletin #135.

Note: Consumable costs, such as fuel expenses, are not allowed except as part of the standard National Guard deployment package.

Equipment

FY08 UASI funds may be used for equipment acquisition from the 21 equipment categories listed in the FY 2008 Authorized Equipment List (AEL). The AEL is available in its entirety online through the Responders Knowledge Base (RKB) at <http://www.rkb.us>. For more information on allowable equipment categories, please refer to Appendix C: Allowable Cost Matrix.

Training

Allowable training costs include, but are not limited to, the following:

- **FEMA Provided Training.** FEMA funds the direct delivery of a variety of classes that States can request to meet training needs. These classes are listed in the FEMA approved course catalog listed at http://www.firstrespondertraining.gov/odp_webforms.
- **Attending Training Not Provided by FEMA (State or Federal Sponsored Courses).** States, Territories, and Urban Areas are not required to request approval from FEMA for personnel to attend training not provided by FEMA (State or Federal sponsored courses) provided that the training is coordinated with and approved by the SAA or TPOC and falls within the FEMA mission scope and the jurisdiction's EOP and Strategy of preparing State and local personnel or citizens to prevent, protect against, respond to, and recover from acts of terrorism or catastrophic events. These classes are listed in the FEMA State and

Federal approved course catalogs listed at http://www.firstrespondertraining.gov/odp_webforms

- **Funds used to develop, deliver, and evaluate training**, including costs related to administering the training, planning, scheduling, facilities, materials, and supplies, reproduction of materials and equipment.
- **Overtime and Backfill costs**, as defined in this guidance, associated with attending or teaching FEMA-sponsored and/or approved training courses and programs are allowed. These costs are allowed only to the extent that payment for such services is in accordance with the policies of the State or unit(s) of local government and has the approval of the State or the awarding agency, whichever is applicable. In no case is dual compensation allowable. That is, an employee of a unit of government may not receive compensation from both their unit or agency of government and from an award for a single period of time (eg 1:00pm to 5:00pm), even though such work may benefit both activities. Further, overtime costs associated with employees who participate in training in a teaching role for which they are compensated are not allowed. Fringe benefits on overtime hours are limited to Federal Insurance Contributions Act (FICA) Workers' Compensation and Unemployment Compensation.
- **Travel Costs** (e.g., airfare, mileage, per diem, hotel) are allowable as expenses by employees who are on travel status for official business related to approved training.
- **Hiring of Full or Part-Time Staff or Contractors/Consultants** to support training-related activities. Payment of salaries and fringe benefits must be in accordance with the policies of the State or unit(s) of local government and have approval of the State. Such costs must be included within the funding allowed for program management personnel expenses, which must not exceed 15% of your total UASI grant award. In no case is dual compensation allowable (see above).
- **Certification/Recertification of instructors** is an allowable cost. States are encouraged to follow the FEMA Instructor Quality Assurance Program to ensure a minimum level of competency and corresponding levels of evaluation of student learning. This is particularly important for those courses that involve training of trainers.

The NYS Training Point of Contact is Cliff Parker. If you have any questions about training, please contact Cliff at training@security.state.ny.us.

For more information on allowable training costs, please refer to Appendix C: Allowable Cost Matrix.

Exercises

All exercises conducted using HSGP funding must be compliant with the Homeland Security Exercise and Evaluation Program (HSEEP) guidance. HSEEP requirements are summarized below.

Allowable exercise-related costs include:

- **Funds Used to Design, Develop, Conduct, and Evaluate an Exercise:** Includes costs related to planning, meeting space and other meeting costs, facilitation costs, materials and supplies, travel, and documentation
- **Hiring of Full or Part-Time Staff or Contractors/Consultants:** Full or part-time staff may be hired to support exercise-related activities. Such costs must be included within the funding allowed for program management personnel expenses, which must not exceed 15% of your total UASI award. Your formal written procurement policy or the Federal Acquisition Regulations (FAR)—whichever is more stringent—must be followed. In no case is dual compensation allowed.
- **Overtime and Backfill:** Overtime and backfill costs associated with the design, development, and conduction of exercises are allowable expenses. These costs are allowed only to the extent that the payment for such services is in accordance with the policies of the local unit of government and has the approval of the State. In no case is dual compensation allowable. Fringe benefits on overtime are limited to FICA, Workers' Compensation and Unemployment Compensation.
- **Travel:** Travel costs are allowable for employees who are on travel status for official business related to the planning and conduct of exercises.
- **Supplies:** Supplies are items that are expended or consumed during the course of the planning and conduct of the exercise project(s) (e.g., copying paper, gloves, tape).
- **Other Items:** These costs include the rental of space/locations for exercises planning and conduct, rental of equipment (e.g., portable toilets, tents), food, refreshments, gasoline, exercise signs, badges, etc.

For a complete list of allowable costs associated with exercises, please refer to Appendix C: Allowable Cost Matrix.

Unauthorized exercise related costs include:

- Reimbursement for the maintenance and/or wear and tear of costs of general use vehicles (e.g., construction vehicles) and emergency response apparatus (e/g/, fire trucks, ambulances).
- Equipment that is purchased for permanent installation and/or use, beyond the scope of exercise conduct (e.g., electronic messaging signs).

HSEEP general information:

- HSEEP is a capabilities and performance-based exercise program which provides a standardized policy, methodology, and terminology for exercise development, conduct, evaluation and improvement planning. HSEEP policy and guidance is contained in the HSEEP Volumes 1-4, and can be obtained on the HSEEP web site: www.hseep.dhs.gov, along with the HSEEP Exercise development toolkit.
- The HSEEP Mobile Course has been designed to provide students with an understanding of the guidance and principles of HSEEP. This course is scheduled regularly throughout the state and course offerings are listed on the OHS web site: www.security.state.ny.us/training.

A summary of major HSEEP compliance activities follows:

Exercise notification:

- Exercise Notification form: When an exercise is scheduled, it must be reported to OHS on the Scheduled Exercise Notification Form. This form must be submitted to the OHS Training and Exercise Division thirty days prior to the start of each exercise supported with HSGP funds, including any exercise supported by the county through a sub-allocation of its award. This notification form can now be submitted online via the Office of Homeland Security's website: http://www.security.state.ny.us/training/exercise_notification.php
- National Exercise Schedule: HSEEP guidance requires exercises be placed on the National Exercise Schedule (NEXS). The OHS Exercise Branch will place exercise information on the National Exercise Schedule based on information contained on the exercise notification form received from jurisdictions. OHS also maintains a state exercise schedule on the agency web site.

Exercise development: Exercises must be developed according to HSEEP guidance.

Exercise evaluation and after action reporting:

- Evaluation is the cornerstone of exercises. It documents strengths and areas for improvement in a jurisdiction's preparedness. HSEEP requires all exercises to be evaluated and jurisdictions must submit an exercise After Action Report (AAR) and Improvement Plan (IP). Evaluation takes place using pre developed Exercise Evaluation Guides which are available on the HSEEP web site. The After Action Report/ Improvement Plan summarizes exercise events and includes corrective actions for improvement. The AAR/ IP must be submitted to the OHS Training and Exercise Division sixty days after completion of the exercise. Format, templates and information regarding AAR and IP may be found in HSEEP Volume IV on the HSEEP web site: www.HSEEP.dhs.gov. The HSEEP Mobile course includes very thorough information on development of After Action Reports and Improvement Plans.

A Scheduled Exercise Notification Form must be submitted to the Training and Exercise Division at the NYS Office of Homeland Security (OHS) thirty days prior to the start of each HSEEP exercise supported with HSGP funds, including any exercise supported by the county through a sub-allocation of its award. This notification form can now be submitted online via the Office of Homeland Security's website: http://www.security.state.ny.us/training/exercise_notification.php

Reminder: All Urban Areas are required to develop a Multi-Year Training and Exercise Plan and submit it to FEMA on an annual basis. This plan must tie into the Multi-Year Training and Exercise Plan developed by the State and it must align with your Urban Area Homeland Security Strategy. Further, Urban Areas are encouraged to develop a Multi-Year Plan and Schedule that takes into consideration anticipated training needs of the Urban Area for at least the immediate year, with exercises being timed to provide responders the opportunity to utilize training received. Further guidance concerning Training and Exercise Plan Workshops can be found in the HSEEP volumes. Urban Areas are eligible to apply for direct support, but must do so in coordination with the NYS Office of Homeland Security (OHS).

Exercise Assistance: The OHS Exercise Branch is available to provide exercise assistance to jurisdictions. Please contact Catherine Lowenski; OHS Exercise Branch

Chief at (518-457-0554) or clowenski@security.state.ny.us if you are interested in conducting an exercise using FY08 HSGP funds, and/or have HSEEP or exercise related questions.

Personnel

Personnel hiring, overtime, and backfill expenses are permitted under this grant in order to perform allowable FY08 HSGP planning, training, exercise, and equipment activities.

- For the UASI, up to 15% of your grant award can be used for personnel costs.
- The 15% personnel cap does not apply to contractors and is in addition to allowable Management and Administration (M&A) costs.
- FY08 HSGP funds may not be used to support the hiring of any personnel for the purposes of fulfilling traditional public safety duties or to supplant traditional public safety positions and responsibilities.

Management and Administrative (M&A)

Up to 3% of the award amount may be used to support Management and Administrative costs associated with the implementation of the grant award. Examples of allowable M&A costs include:

- Travel costs
- Meeting related expenses
- Authorized office equipment
- Leasing or renting of space for newly hired personnel during the period of performance for the grant program.

For more information on allowable M&A costs, please refer to Appendix C: Allowable Cost Matrix.

FY08 Construction and Renovation Guidance

Use of HSGP funds for construction and renovation is generally prohibited except as outlined below. Such construction and renovation shall be strictly limited and allowable only when it is a necessary component of a security system at critical infrastructure facilities.

Project construction and renovation not exceeding \$1,000,000 is allowable, as deemed necessary. The following types of projects are considered to constitute construction and renovation, and must be submitted to FEMA for compliance review under Federal environmental planning and historic preservation (EHP) laws and requirements prior to initiation of the project:

- Construction of and renovation to guard facilities
- Renovation of and modifications, including the installation of security and communications equipment, to buildings and structures that are over 50 years old
- Any other construction or renovation efforts that change or expand the footprint of a facility or structure, including security enhancements to improve perimeter security.

- Physical security enhancements, including, but not limited to:
 - Lighting
 - Fencing
 - Closed-circuit television (CCTV) systems
 - Motion Detection Systems
 - Barriers, doors, gates, and related security enhancements.

In addition, the erection of communication towers that are included in a jurisdiction's interoperable communications plans are allowed, subject to all applicable laws, regulations, and licensing provisions. Communication tower projects must be submitted to FEMA for EHP review.

Construction Approval Process for SHSP, SLETPP, and UASI

In order for grantees to draw down funds for construction and renovation costs under SHSP, SLETPP, and UASI grants, grantees must provide to FEMA:

- A description of the asset or facility, asset location, whether the infrastructure is publicly or privately owned, and the construction or renovation project.
- Certification that a facility vulnerability assessment has been conducted for the facility.
- An outline addressing how the construction or renovation project will address the identified vulnerabilities from the assessment.
- Consequences of not implementing the construction or renovation project.
- Any additional information requested by FEMA to ensure compliance with Federal environmental and historical preservation requirements.

Grantees should refer to FEMA's Information Bullet #271, "Environmental and Planning and Historical Preservation Requirements for Grants", available online at:
<http://www.ojp.usdoj.gov/odp/docs/info271.pdf>

Appendix A: DHS Workplan Codes

01. Establish/enhance a terrorism intelligence/early warning system, center, or task force
02. Establish/enhance a agro-terrorism preparedness capabilities
03. Establish/enhance cyber security program
04. Establish/enhance emergency operations center
05. Establish/enhance regional response teams
06. Establish/enhance sustainable homeland security training program
07. Administer and manage the FY08 Homeland Security Grant
08. Establish/enhance sustainable Homeland Security Planning Program
09. Establish/enhance citizen awareness of emergency preparedness, prevention and response measures
10. Establish/enhance citizen / volunteer initiatives
11. Adopt and implement NIMS to include integration of core concepts into plans and procedures
12. Assess vulnerability of and/or harden/protect critical infrastructure and key assets
13. Build/enhance a pharmaceutical stockpile and/or distribution network
14. Develop/enhance interoperable communications system
15. Enhance capability to support international border and waterway security
16. Establish/enhance a public health surveillance system
17. Establish/enhance Citizen Corps Councils
18. Establish/enhance explosive ordnance disposal units/bomb squads
19. Establish/enhance public-private emergency preparedness program
20. Establish/enhance sustainable homeland security exercise program
21. Manage, update and/or implement the State Homeland Security Strategy
22. Manage, update and/or implement the Urban Area Homeland Security Strategy
23. Develop/enhance plans, procedures, and protocols
24. Develop/enhance homeland security / emergency management organization and structure
25. Enhance integration of metropolitan area public health / medical and emergency management capabilities
26. Establish/enhance mass care shelter and alternative medical facilities operations
27. Establish/enhance emergency plans and procedures to reflect the National Response Plan
28. Develop/enhance state and local geospatial data system/Geographic Information System (GIS)
29. Enhance capabilities to recover from all-hazards events
30. Enhance capabilities to respond to all-hazards events.
31. Enhance capability to perform post-incident structural damage and mitigation assessment.
32. Enhance capability to restore lifelines post incident
33. Enhance capability to support economic and community recovery
34. Establish/enhance fusion center

Appendix B:

FY08 NYS Investment Justifications, 8 National Priorities, and 37 Target Capabilities

I. FY08 NYS UASI Investment Justifications

Albany-Schenectady-Troy	Buffalo	NYC
Regional Interoperability Network	Interoperable Communications	Citizen Preparedness
Capital Region Critical Infrastructure Monitoring and Protection Initiative	CBRNE	Lower Manhattan Security Initiative
Planning, Training, Exercises, and Evaluation	Critical Infrastructure/Key Sources Protection	Fire Services Tiered Training Matrix
NIMS Implementation	Citizen Preparedness	NIMS Training, Planning, Equipment, and Exercises
CBRNE Vehicles and Equipment	Enhancement of the Public Health Threat Sector	Public Safety Interoperable Communications
Citizen Preparedness and Volunteer Recruitment	Implementation of NIMS Compliance	Enhance the Public Health Response Capacity
Strengthening Medical Surge Capacity/Mass Prophylaxis	Multi-UASI Investment: Regional Incident Management Team	Radiological, Nuclear, and Explosive Detection and Response
		Critical Infrastructure Protection
		Regional Catastrophic Planning, Training, Equipment, and Exercises
		Joint Operations Center

Rochester	Syracuse
Strengthen CBRNE Prevention, Protection, and Response Capacity	Critical Infrastructure
Strengthen Communications Capabilities	Citizen Preparedness
Medical Surge and Mass Prophylaxis	CBRNE
Citizen Preparedness	Interoperable Communications
Multi-UASI Investment: Regional Incident Management Team	NIMS
	Information-Sharing

II. 8 National Priorities

1. Implement the National Incident Management System (NIMS) and the National Response Plan (NRP)
2. Expanded Regional Collaboration
3. Implement the Interim National Infrastructure Protection Plan (NIPP)
4. Strengthen Information Sharing and Collaboration Capabilities
5. Strengthen Interoperable Communications Capabilities
6. Strengthen CBRNE Detection, Response, and Decontamination Capabilities
7. Strengthen Medical Surge and Mass Prophylaxis Capabilities

8. Strengthen Planning and Citizen Preparedness Capabilities

III. 37 Target Capabilities

1. Planning
2. Communications
3. Risk Management
4. Community Preparedness and Participation
5. Intelligence and Information Sharing and Dissemination
6. Information Gathering and Recognition of Indicators and Warnings
7. Intelligence Analysis and Production
8. Counter-Terror Investigation and Law Enforcement
9. CBRNE Detection
10. Critical Infrastructure Protection (CIP)
11. Food and Agricultural Safety and Defense
12. Epidemiological Surveillance and Investigation
13. Laboratory Testing
14. Onsite Incident Management
15. Emergency Operations Center Management
16. Critical Resource Logistics and Distribution
17. Volunteer Management and Donations
18. Responder Safety and Health
19. Emergency Public Safety and Security
20. Animal Disease Emergency Support
21. Environmental Health
22. Explosive Device Response Operations
23. Fire Incident Response Support
24. WMD/HazMat Response and Decontamination
25. Citizen Evacuation and Shelter-In-Place
26. Isolation and Quarantine
27. Search and Rescue (Land-Based)
28. Emergency Public Information and Warning
29. Emergency Triage and Pre-Hospital Treatment
30. Medical Surge
31. Medical Supplies Management and Distribution
32. Mass Prophylaxis
33. Mass Care (Sheltering, Feeding, and Related Services)
34. Fatality Management
35. Structural Damage Assessment
36. Restoration of Lifelines
37. Economic and Community Recovery

Appendix C: Allowable Cost Matrix

Allowable Planning Costs	SHSP	SLETPP	UASI	MMRS
Planning-Personnel	Y	Y	Y	Y
Planning-Improvised Explosive Devices	Y	Y	Y	Y
Public education & outreach	Y	Y	Y	Y
Develop and implement homeland security support programs & adopt ongoing FEMA National Initiatives, including State Preparedness Reports	Y	Y	Y	Y
Develop and enhance plans and protocols	Y	Y	Y	Y
Develop and conduct assessments	Y	Y	Y	Y
Establish, enhance, or evaluate Citizen Corps related volunteer programs	Y	Y	Y	Y
Hiring of full or part-time staff or contractors/consultants to assist with planning activities (not for the purpose of hiring public safety personnel fulfilling traditional public safety duties)	Y	Y	Y	Y
Conferences to facilitate planning activities	Y	Y	Y	Y
Materials required to conduct planning activities	Y	Y	Y	Y
Travel/per diem related to planning activities	Y	Y	Y	Y
Overtime and backfill costs (IAW operation Cost Guidance)	Y	Y	Y	Y
Other project areas with prior approval from FEMA	Y	Y	Y	Y
Allowable Organizational Activities	SHSP	SLETPP	UASI	MMRS
Overtime for information, investigative, & intelligence sharing activities (up to 25 percent of UASI allocation and/or 60% of the SLETPP allocation)		Y	Y	
Reimbursement for select operation expenses associated with increased security measures at critical infrastructure sites incurred during periods of FEMA-declared alert (up to 25 percent of the allocation)			Y	
Hiring of new staff positions/contractors/consultants for participation in information/intelligence analysis & sharing groups or fusion center activities (up to 25 percent of UASI allocation and/or 60% of SLETPP allocation)		Y	Y	
Allowable Equipment Categories	SHSP	SLETPP	UASI	MMRS
Personal Protective Equipment	Y	Y	Y	Y

Explosive Device Mitigation & remediation Equipment	Y	Y	Y	
CBRNE Operational Search & Rescue Equipment	Y	Y	Y	Y
Information Technology	Y	Y	Y	Y
Cyber Security Enhancement Equipment	Y	Y	Y	Y
Interoperable Communications Equipment	Y	Y	Y	Y
Detection Equipment	Y	Y	Y	Y
Decontamination Equipment	Y		Y	Y
Medical Supplies & Limited Pharmaceuticals	Y	Y	Y	Y
Power Equipment	Y	Y	Y	Y
CBRNE Reference Materials	Y	Y	Y	Y
CBRNE Incident Response Vehicles	Y	Y	Y	Y
Terrorism Incident Prevention Equipment	Y	Y	Y	
Physical Security Enhancement Equipment	Y	Y	Y	
Inspection & Screening Systems	Y	Y	Y	
Agriculture Terrorism Prevention, Response, & Mitigation Equipment	Y		Y	Y
CBRNE Response Watercraft	Y	Y	Y	
CBRNE Aviation Equipment	Y		Y	Y
CBRNE Logistical Support Equipment	Y	Y	Y	Y
Intervention Equipment	Y	Y	Y	
Other Authorized Equipment	Y	Y	Y	Y
Allowable Training Costs	SHSP	SLETPP	UASI	MMRS
Training-Personnel	Y	Y	Y	Y
Training-Improvised Explosive Devices	Y	Y	Y	Y
Overtime & backfill for emergency preparedness & response personnel attending FEMA-sponsored & approved training classes & technical assistance programs	Y	Y	Y	Y
Overtime & backfill expenses for part-time & volunteer emergency response personnel participating in FEMA training	Y	Y	Y	Y
Training workshops & conferences	Y	Y	Y	Y
Full- or part-time staff or contractors/consultants	Y	Y	Y	Y
Travel	Y	Y	Y	Y
Supplies	Y	Y	Y	Y
Tuition for higher education	Y	Y	Y	Y
Other items	Y	Y	Y	Y
Allowable Exercise Related Costs	SHSP	SLETPP	UASI	MMRS
Exercises-Personnel	Y	Y	Y	Y
Exercises-Improvised Explosive Devices	Y	Y	Y	Y
Design, Develop, Conduct & Evaluate an Exercise	Y	Y	Y	Y

Exercise planning workshop	Y	Y	Y	Y
Full or part-time staff or contractors/consultants	Y	Y	Y	Y
Overtime & backfill costs, including expenses for part-time & volunteer emergency response personnel participating in FEMA exercises	Y	Y	Y	Y
Implementation of HSEEP	Y	Y	Y	Y
Travel	Y	Y	Y	Y
Supplies	Y	Y	Y	Y
Other Items	Y	Y	Y	Y
Allowable Management & Admin. Costs	SHSP	SLETPP	UASI	MMRS
Hiring of full or part-time staff or contractors/consultants to assist with the management of the respective grant program, application requirements, compliance with reporting & data collection requirements	Y	Y	Y	Y
Development of operating plans for information collection & processing necessary to respond to FEMA data calls	Y	Y	Y	Y
Overtime and backfill costs	Y	Y	Y	Y
Travel	Y	Y	Y	Y
Meeting related expenses	Y	Y	Y	Y
Authorized office equipment	Y	Y	Y	Y
Recurring expenses such as those associated with cell phones & faxes during the period of performance of the grant program	Y	Y	Y	Y
Leasing or renting of space for newly hired personnel during the period of performance of the grant program	Y	Y	Y	Y

Appendix D: Target Capability Linkages to HSGP Funding Streams²

	SHSP	SLETPP	UASI	MMRS
Common Target Capabilities				
Planning	Y	Y	Y	Y
Community Preparedness and Participation	Y	Y	Y	Y
Communications	Y	Y	Y	Y
Risk Management	Y	Y	Y	
PREVENT Mission Area Target Capabilities				
Info Gathering & Recognition of Indicators & Warnings	Y	Y	Y	Y
Counter-Terror Investigation and Law Enforcement	Y	Y	Y	
Intelligence Analysis & Production	Y	Y	Y	
CBRNE Detection	Y	Y	Y	Y
Intelligence and Information Sharing & Dissemination	Y	Y	Y	Y
PROTECT Mission Area Target Capabilities				
Critical Infrastructure Protection	Y	Y	Y	
Epidemiological Surveillance & Investigation	Y	Y	Y	Y
Laboratory Testing	Y	Y	Y	Y
Food & Agriculture Safety & Defense	Y	Y	Y	
RESPOND Mission Area Target Capabilities				
Onsite Incident Management	Y		Y	
Citizen Evacuation and Shelter-In-Place	Y		Y	Y
Emergency Operations Center Management	Y		Y	
Isolation & Quarantine	Y		Y	Y
Critical Resource Logistics & Distribution	Y		Y	Y
Volunteer Management & Donations	Y		Y	
Emergency Public Information & Warning	Y		Y	Y
Responder Safety & Health	Y		Y	Y
Emergency Triage & Pre-Hospital Treatment	Y		Y	Y
Emergency Public Safety & Security Response	Y		Y	Y
Medical Surge	Y		Y	Y
Animal Disease Emergency Support	Y		Y	
Medical Supplies Management & Distribution	Y		Y	Y
Environmental Health	Y		Y	Y
Mass Prophylaxis	Y		Y	Y
Explosive Device Response Operations	Y	Y	Y	
Fire Incident Response Support	Y		Y	Y

² A * denotes a supporting capability, while a “Y” denotes a direct role.

Mass Care (Sheltering, Feeding, Related Services)	Y		Y	Y
Search and Rescue (Land-Based)	Y		Y	Y
Fatality Management	Y		Y	Y
WMD/Hazardous Materials Response & Decontamination	Y		Y	Y
RECOVER Mission Area Target Capabilities				
Structural Damage Assessment	Y		Y	
Economic & Community Recovery	Y		Y	
Restoration of Lifelines	Y		Y	

Appendix E:

NYS Office of Homeland Security: Contacts for Grant-Related Issues

Toll-Free Grant Hotline: 866-837-9133

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