

New York State Comprehensive Emergency Management Plan

Volume 2: Response and Short-Term Recovery



**Disaster Preparedness
Commission**

**Prepared by the New York State
Disaster Preparedness Commission**

March 2022

List of Plan Revisions

Date of Revision	Subject Matter	Page(s)
January 2007	Executive Change	Cover
June 2008	Annual Update	Multiple (Response, Levels, MAC)
September 2009	Full Plan Review	All, as warranted
November 2009	Annual Update	Multiple (R/R, Response, RM Charts)
January 2011	Administrative Change	All, as warranted
March 2012	EOC Operating Levels	All, as warranted
March 2013	Annual Review	All, as warranted
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February 2016	Annual review; All including, Intergovernmental Affairs, MAC, NY Responds	All, as warranted
March 2017	Annual Review	All, as warranted
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January 2018	Annual Review	All, as warranted
February 2019	Annual Review	ROC, Mitigation Plan, CEPA, and EMAP update
March 2020	Annual review/update	All, NRF changes, EMAP update, Legal Counsel Review
March 2021	DPC agency and ESF review; COVID update; Legal Counsel Review	All, Exec Law change, PDA and declaration language.
March 2022	DPC agency and ESF review; COVID update; Legal Counsel Review	All, as warranted

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New York State Comprehensive Emergency Management Plan

Volume 2

Response and Short-Term Recovery Plan

Section I: General Considerations and Planning Guidelines

I. General Considerations and Planning Guidelines

A. Introduction

The State of New York experiences a wide variety of human-caused, natural, or technological disasters. Disasters may disrupt public safety, health and human services, and infrastructure that may have a devastating social, economic, or developmental impact on New York State. The State of New York must be prepared to respond to emergencies and disasters. Large scale emergencies and disasters exhaust resources at the municipal and county levels of government and require leadership from the State to effectively respond to and recover from events.

Dealing with emergencies and disasters is an ongoing and complex endeavor. As a result, in 1979 the State Legislature promulgated State Executive Law Article 2-B. Article 2-B is the statutory basis for the emergency management program within New York State. Article 2-B identifies the State Disaster Preparedness Commission (DPC) and the roles and responsibilities of the DPC. One of the missions of the State of New York through the DPC is to provide leadership, coordination, and support for efforts to prevent, protect against, prepare for, respond to, and recover from disasters. This includes the preparation of State disaster plans, directing State disaster operations and coordinating with federal, local, and private response and recovery operations and efforts. Additionally, Article 2-B identifies the State Office of Emergency Management (OEM) as the operational arm of the DPC.

This document shall serve as Volume 2 of the State Comprehensive Emergency Management Plan (CEMP), *Response and Short-Term Recovery*. In addition, this document is supported by numerous functional, performance, and hazard-specific annexes, each of which identifies the individual and collective actions of selected State agencies.

B. Purpose

The State of New York has structured its planning methodology with the development of the State CEMP into three distinct, but interconnected volumes. These are:

- Volume 1: All-Hazard Mitigation Plan
- Volume 2: Response and Short-Term Recovery
- Volume 3: Long-Term Recovery Plan

The purpose of the CEMP is to identify the State's overarching policies, authorities, and response organizational structure that will be implemented in an emergency or disaster situation that warrants a State response. The CEMP serves as the foundational framework for the State's response levels and serves as the operational basis of which other functional and hazard-

specific annexes are built upon. These annexes address a broad range of consequences to respond to the State’s highest rated hazards.

The purpose of this document is to serve as Volume 2 of the State CEMP, *Response and Short-Term Recovery*. This document will ensure that the State has detailed plans to provide direction and coordination of the State’s resources and capabilities in responding to and recovering from a disaster.

The objectives of this plan are to:

- Identify the structure of the CEMP;
- Provide a framework for the State’s emergency management program operational plans;
- Establish procedures to reflect operational priorities; and,
- Outline the State’s collective response and short-term recovery to an emergency.

The structure of the plans in New York State are identified in Figure 1 below.

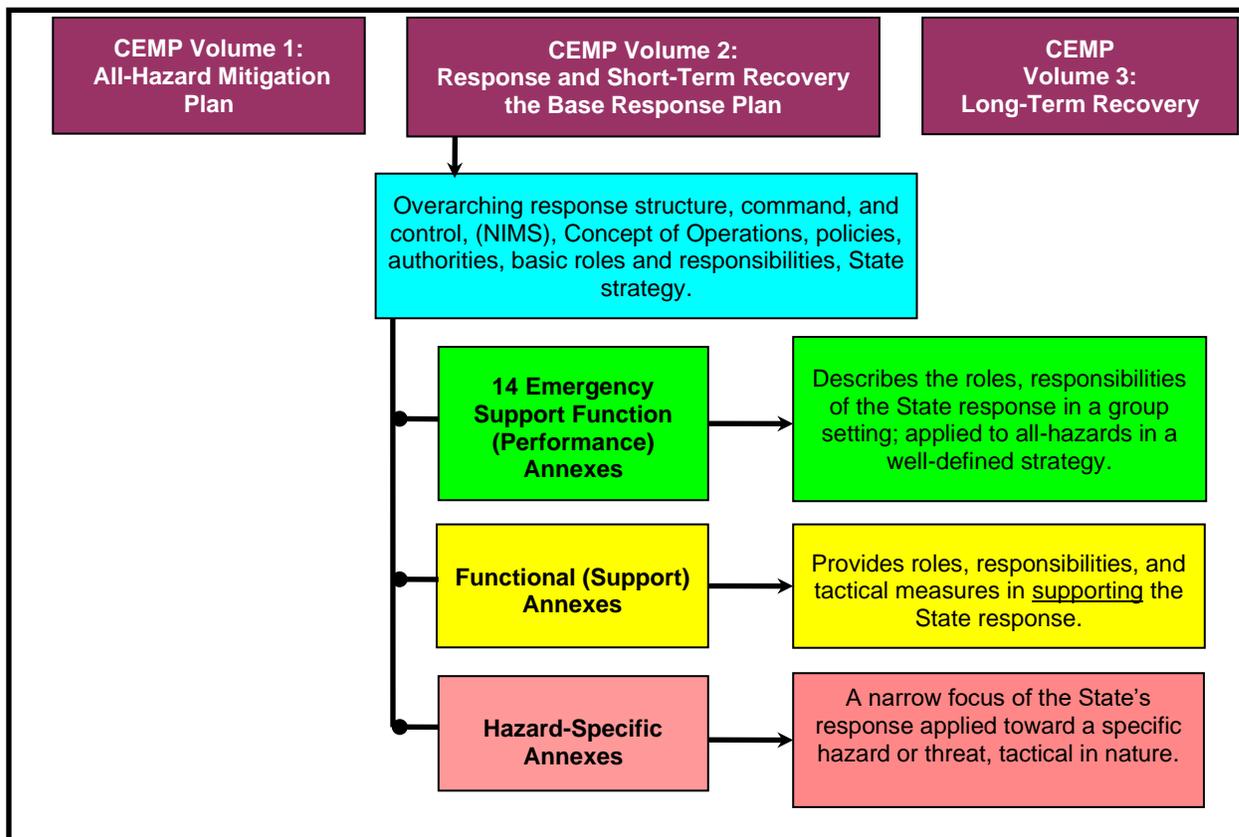


Figure 1: Structure of the State Comprehensive Emergency Management Plan

C. Scope

This plan:

- Applies to all natural, technological, and human-caused emergencies or disasters where local capabilities may be exceeded, necessitating the use of State and/or federal agencies and resources;
- Applies to all incidents that require a limited or full activation of the State Emergency Operations Center (EOC); and
- Applies to all State agencies and authorities that may be directed to respond to an emergency or disaster and identifies the response structure for a State multi-agency response. Nothing herein shall be construed as relieving or redefining any agency's statutory responsibilities unless directed by Executive Order of the Governor during a declared State Disaster Emergency.

The mechanisms in this plan are designed to address short-term recovery from any hazard that could adversely affect the State and provide for a seamless transition into the long-term recovery phase. The elements of this document may also be utilized for an increase in threat, regardless of the hazard, or pre-planned events that warrant the State to assume a more proactive and protective posture. Events that may warrant an increase in activation level include mass gatherings, holiday celebrations, and National Special Security Events (NSSEs).

State agencies will support the implementation of this document pursuant to State and federal statutory obligations. Agency-specific operational plans in support of this document are developed and maintained by individual agencies and are incorporated into the State's response based on the activation level and incident circumstance. These operational plans are executed by the individual agencies under the auspices of this document and as directed by agency executives. These operational plans may be implemented by individual agencies in support of their agency's mission, or as a collective part of one or more of the State Emergency Support Function (ESF) Annexes.

It is beyond the scope of this document to list and include each agency-specific plan, policy, or procedures that may be called upon or be implemented in support of this document. Additionally, this document is not intended to address law enforcement criminal investigative or intelligence information or activities during the response to a disaster or incident.

D. Situation

New York State experiences a variety of disasters and emergencies that may result in the loss of life, or damage or destruction of property. New York State is the fourth largest state in populace. In 2019, the U.S. Census Bureau estimated that 19.4 million people resided across New York State, with an estimated 8.3 million people residing in New York City. New York State spans 54,556 square miles, ranking it the 27th largest state by size. New York State, located in the northeastern United States, is bordered by New Jersey, Pennsylvania, Connecticut, Massachusetts, Vermont, and Canada. New York State shares a maritime border with Rhode Island. Additionally, New York State touches the Atlantic Ocean, Lake Erie, and Lake Ontario.

The Division of Homeland Security and Emergency Services (DHSES) Strategic Plan 2021-2023 noted that there have been 76 major disaster declarations since 1954. Additionally, the State has responded to 22 domestic terrorist and 35 Jihadist/terrorist attacks/plots since September 11, 2001 (9/11). The State EOC has been activated for a variety of incidents, including natural, technological, or human-caused events such as severe winter storms,

flooding, ice jams, mass gatherings, 9/11, Superstorm Sandy, Lake Ontario flooding, and the COVID-19 pandemic.

The State of New York experiences a wide variety of human-caused, natural, or technological disasters. Incidents may occur with warning, such as a severe winter storm, or incidents may occur with little or no warning. The impacts of these incidents could cause mass disruptions of services or local or county governments request additional assistance from the State. One or more local or county governments may declare a local State of Emergency, as multiple incidents may occur simultaneously or sequentially in contiguous and/or noncontiguous areas. Disasters may disrupt public safety, health and human services, and infrastructure that may have a devastating social, economic, or developmental impact on New York State.

The response to the COVID-19 pandemic in 2020-2021 provided a unique and challenging environment for response activities. The effects of the pandemic caused massive social and economic disruptions. Implementation of social distancing, restrictions on building capacities, and enforcement of stay at home orders posed a variety of challenges not seen in other types of disaster or emergency events. The potential exposure and illness of State response personnel due to the pandemic caused staffing shortage concerns, and the physical location of individual roles were evaluated to determine the most effective way to maintain efficiency and safety of workers while maintaining response capabilities. Additional challenges faced in planning and response to the pandemic included cascading effects such as challenges in obtaining logistical support and supplies due to high demand and limited availability of critical resources, such as personal protective equipment (PPE) that were specific to the pandemic event.

Depending on the event and the response discipline, State response actions may occur concurrently or consecutively with the local response. In each case, State response and short-term recovery actions may include a variety of actions that will help in restoring essential services and systems to minimum operating standards. While State agencies possess a wealth of resources and response capabilities that can be applied to the situation in support of local government, some events may overwhelm the capabilities of the State and require federal assistance. Depending on the event, some emergencies may warrant an immediate federal response absent the exhaustion of State and/or local resources.

Regardless of the scenario, the State needs to have a methodology and structure in place to effectively and efficiently interoperate with all levels of government. In doing so, the State will maintain a high degree of commitment to prevent or minimize property damage, protect and save lives, and provide for all citizens who are or may be threatened by an emergency or who become victims of any disaster. These services will be coordinated to the maximum extent with comparable activities of local governments, other states, the federal government, and voluntary/private entities.

E. Planning Assumptions

1. The *Response and Short-term Recovery Plan* is a dynamic living document that will continually evolve as the DPC reviews the State's response and short-term recovery activities following incidents and/or exercises.
2. New York State is subject to a wide variety of hazards that may result in an emergency situation. Natural hazards may include floods, winter storms, windstorms, and drought. Technological hazards may include radiological contamination and hazardous substance releases. Human-caused hazards may include terrorist acts, civil disorder, or cyber

incidents. These examples are not exhaustive of hazards in New York State and are constantly being reviewed and updated as part of Volume 1: *State Multi-Hazard Mitigation Plan*.

3. Known events may occur that could impact the state, which may necessitate preparedness efforts to mitigate the effects of the incident.
4. Events with little, if any, warning may occur which could cause mass disruptions of services or where local or county governments require State support in response to an event. These events may warrant an immediate State response or there may be incidents where the State responds on its own initiative rather than waiting for a request for assistance. State assistance is typically supplemental to local efforts.
5. One or more local governments may have declared a local State of Emergency in response to the disaster and the State has been called upon to assist.
6. Multiple incidents may occur simultaneously or sequentially in contiguous and/or noncontiguous areas. This will require prioritization of limited resources.
7. The State and its political subdivisions will continue to experience incidents that may result in death, injury, and damage that may produce substantial consequences. In addition, there could be considerable damage to the infrastructure and/or the environment. Operational priorities will reflect the following:
 - Life, safety, and health;
 - Property protection;
 - Environmental protection;
 - Restoration of essential utilities;
 - Restoration of essential program function; and,
 - Coordination among appropriate stakeholders.
8. The State will continue to experience incidents that may necessitate the evacuation and sheltering of the public at risk.
9. In accordance with Article 2-B, county governments have developed, maintained, and implemented comprehensive emergency management plans that coordinate their response activities within their borders and ensure that all local resources are fully committed before requesting assistance from the State.
10. Although this plan outlines procedures for coordinating the provision of supplemental State and federal assistance, it is essential for all levels of government to be prepared to carry out incident response and short-term recovery actions until additional assistance is available.
11. The need for resources and assistance may include the local, State, and/or federal governments and agencies strategically working together to coordinate preparedness, response, and/or recovery efforts.

12. State activities may vary based on the existence of a Governor's Declaration of a State Disaster Emergency and a request for federal disaster assistance.
13. State agencies may need to respond on short notice to provide effective and timely assistance to local government.
14. State employees, their families, or their personal property may be impacted by the emergency. This may make them unavailable to conduct initial response operations or sustain operations on behalf of the State in the impacted area(s).
15. Based on the response discipline and the respective statutory authorities, some State agencies may be fully engaged in the response at the local level prior to a State EOC activation or State Disaster Emergency declaration.
16. The Incident Command System (ICS) will be implemented in response to the emergency or disaster. ICS allows for flexibility in its application so that its structure can be modified to any particular situation.
17. The severity of problems resulting from an incident may depend on factors such as time of occurrence, severity of impact, existing weather conditions, area demographics, and the nature of building construction. Collateral events, such as infrastructure failure, may also increase the impact on the community, multiply property losses, and hinder the immediate response and short-term recovery efforts.
18. Depending on the size and type of incident, the State may have varying resources and capabilities available. Mutual aid agreements and compacts may need to be utilized to supplement response and short-term recovery capabilities.
19. It is expected that State-owned facilities and resources in an affected area may suffer widespread damage and destruction. This situation may severely limit or eliminate response capabilities of State agencies within the affected area.
20. Federal agency resources and expertise can be requested and will be coordinated to augment local and State efforts in relieving problems that are beyond the capabilities of both State and local governments.
21. Federal agencies may engage in a unilateral response and/or offer assistance under their statutory authority to affected areas in lieu of a presidential declaration. These activities may or may not be coordinated through the activation of the National Response Framework (NRF) or another statutory-based plan, such as the National Contingency Plan.

F. Concept of Operations

An emergency or disaster occurring in the state may originate at the local level and escalate to warrant a State response or, based on the size/complexity of the incident, may warrant an immediate State response. Moreover, while the concept of operations may have similar precursors, the initiation of State involvement may be distinctly different depending on how the event unfolds. Therefore, two potential concepts of operations are envisioned:

1. Incidents originating at the local level that exhaust local resources and lead to a State and/or possibly a federal response.

- a) An incident occurs in the state. Local governments and emergency service organizations will continue their essential role as the first line of defense. On its own initiative, the State may mobilize and deploy State resources to an affected area to conduct State-led operations and missions through a State Regional Operations Center (ROC).
- b) When an incident is beyond local capabilities, towns and cities will request help through their respective county government. Village governments will request assistance through their town government and, successively, county government. Counties and the City of New York will request State assistance directly through the State OEM.
- c) Based on incident specifics and the requests for assistance, the Director of the State OEM will determine the level of State involvement needed. Depending on the nature of the request, some requests for assistance may be orchestrated through preexisting interagency protocols or statutory obligations.
- d) If the incident or the request warrants an increase in the State response level, the State EOC will be activated. State OEM will assess the potential needs and demands of the incident and then determine the level of activation necessary to effectively manage the response to the incident. The capabilities that are needed to support that activation are also identified and agencies are notified to staff the State EOC using the ESF construct.
- e) The State's response will be organized and coordinated under the confines of the National Incident Management System (NIMS) Incident Command System (ICS). As such, a variety of ICS-based components, capabilities, and structure will be considered and implemented, as appropriate. The coordinative structure put into place will be incident-based and will incorporate the response structure in the field into the overall State response.
- f) If the incident or the request warrants a federal response, the State will request assistance from the federal government via the Department of Homeland Security/ Federal Emergency Management Agency (DHS/FEMA) through existing federal processes, federal contingency plans, or the President. State response activities will align State agencies and ESFs with the appropriate Federal ESF, Principal Federal Official (PFO), and Federal On-scene Coordinator(s) (FOSC). The capability for the State to provide this interoperability will occur at the State EOC and any forward location being utilized to coordinate the local, State, and federal response.
- g) The incident command structure put into place for State response operations will be supportive of the transition from response operations into the short-term recovery phase of the incident. The organizational response structure will be demobilized based on the needs of the incident, as appropriate.
- h) As warranted, the State will activate its Recovery Support Functions (RSFs) to begin coordinating State services and facilitate the transition to recovery.
- i) The DHSES will coordinate disaster recovery efforts with the appropriate State RSFs, local, and federal officials.

2. An incident occurs in the state requiring an immediate State and/or possibly a federal response.
 - a) An incident occurs in the state that immediately overwhelms local government and/or warrants an immediate State response.
 - b) Some State agencies, by nature of their enabling legislation, may become involved in the incident at a local level. State agency representatives will likely assimilate into the local response organization, if any. Resource requests and situational information are communicated through existing agency protocols and chains of command.
 - c) On its own initiative, the State may mobilize and deploy State resources to an affected area to conduct State-led operations and missions through a State ROC.
 - d) Based on incident specifics and the requests for assistance, the Director of the State OEM will determine the level of State involvement needed. Depending on the nature of the request, some requests for assistance may be orchestrated through preexisting interagency protocols or tasked to the obligated agency.
 - e) If the incident or the request warrants an increase in the State response level, the State EOC will be activated. State OEM will assess the potential needs and demands of the incident and then determine the level of activation necessary to effectively manage the response to the incident. The capabilities that are needed to support that activation are also identified and agencies are notified to staff the State EOC using the ESF construct.
 - f) The State's response will be organized and coordinated under the confines of the NIMS ICS. As such, a variety of ICS-based components, capabilities and structure will be considered and implemented, as appropriate. The coordinative structure put into place will be incident-based and will incorporate the response structure in the field into the overall State response.
 - g) If the incident or the request warrants a federal response, the State will request assistance from DHS/FEMA through established protocols, federal contingency plans, or the President. State response activities will align State agencies and ESFs with the appropriate Federal ESF, PFO, and FOSC. The capability for the State to provide this interoperability will occur at the State EOC and any forward location being utilized to coordinate the local, State, and federal response.
 - h) The incident command structure put into place for State response operations will be supportive of the transition from response operations into the short-term recovery phase of the incident. Structural response components and agencies will be demobilized based on the needs of the incident, as appropriate.
 - i) As warranted, the State will activate its RSFs to begin coordinating State services and facilitate the transition to recovery.
 - j) DHSES will coordinate disaster recovery efforts with the appropriate State RSFs, local, and federal officials.

G. Authorities and Policies

The authority to develop and implement this plan can be found in New York State Law, regulations, and federal authorities, including:

- New York State Constitution
- New York State Executive Law, Article 2-B (4/1/79), as amended
- NIMS Executive Order # 26 of 1996 and # 26.1 of 2006 as amended (NYS Adoption of the Incident Command System)
- Homeland Security Presidential Directive-5

H. Plan Maintenance, Distribution, and Revision Process

The State OEM Planning Section has the responsibility for the development, review, and maintenance of all multi-agency response plans under the State CEMP. As required under New York State Executive Law Article 2-B, this plan and any plan that falls under the auspices of the CEMP shall undergo an annual review, update, and be posted online (if applicable) no later than March 31st of each year.

During the annual review by the Planning Section for its material, this plan is examined for both content and format. For updates that do not impact operational mechanisms or processes, the appropriate edits are initiated within the Planning Section and do not warrant external involvement. Plan updates will also be conducted based upon experiences and lessons learned from exercises or real-world events, or through administrative changes in government. Planning Section updates and/or edits affecting operational capabilities, responsibilities, or otherwise impacting operations will engage stakeholders in a variety of ways, such as verbally, by document review, meetings, webinars, or any combination thereof. Final drafts will be socialized to all appropriate agencies/personnel upon completion.

New York State Comprehensive Emergency Management Plan

Volume 2

Response and Short-Term Recovery Plan

Section II: Preparedness

II. Preparedness

A. All-Hazard Planning and Mitigation Planning

Volume 1 of the State CEMP is the *State Multi-Hazard Mitigation Plan*. New York State participates in federal, State, and local mitigation programs and identifies ongoing mitigation opportunities. The State takes maximum advantage of available federal funding to implement mitigation measures at the State and local levels. Throughout the past several years, a substantial amount of funding has been invested in mitigating the impacts of various disasters in all parts of the state. Volume 1 addresses the overall State hazard mitigation planning process, risk assessment, strategy development, and plan maintenance. The *State Multi-Hazard Mitigation Plan* was approved by DHS/FEMA in December 2018.

Pursuant to the requirements of 44 CFR 201.4, the State Multi-Hazard Mitigation Plan is required to focus on natural hazards that are likely to cause a substantial impact on the state. However, mitigation planning efforts for technological and human-caused hazards are also recognized and are being implemented throughout the state. In addition, the State has developed numerous hazard-specific annexes that take an in-depth look at some of the State's highest rated hazards. A list of State hazard-specific annexes can be found in Attachment 1: *Listing of State Hazard-Specific, Functional and Support Annexes to the State Comprehensive Emergency Management Plan (CEMP)*.

Volume 3 of the State CEMP is the Long-Term Recovery Plan. Volume 3 includes the mechanisms for utilizing long-term recovery components, including mitigation, which is provided for under the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Volume 3 sets the foundation for the State's RSFs designed to effectively interoperate with federal disaster recovery components as identified in the National Disaster Recovery Framework (NDRF). Volume 3 also recognizes the primacy of local governments in the implementation of long-term recovery plans and, depending on the nature and impact of the disaster, new programs that might be available to achieve full recovery.

B. Capability Assessment, Resources Lists, and Typing

A variety of concepts are used to understand the various risks and associated threats and hazards facing the State. Given some of the limitations and challenges associated with the federal doctrine and processes, New York State has developed additional programs and methodologies to better understand risk.

Threat Hazard Identification Risk Assessment (THIRA)

A variety of mechanisms are used to understand the various risks, associated threats and hazards facing the State. As required by the Homeland Security Grant Program (HSGP) and the National Preparedness Goal (NPG), the State must complete an annual Threat Hazard Identification Risk Assessment (THIRA). The process involves the identification of scenarios that will most stress the State's capabilities and an assessment of those capabilities. Those capabilities are mapped to the core capabilities in each of the ESF Annexes created under the State CEMP. The State Preparedness Report (SPR) is a self-assessment of a jurisdiction's current capability levels against the targets identified in the THIRA.

As part of the THIRA process, the State reviewed the criteria from the Federal Core Capabilities that were promulgated under Presidential Policy Directive (PPD-8). In the assessment, the State recognized that the Federal capabilities were not intuitive and an improper fit for the State's goals, missions, and objectives. Subsequently, through a standing workgroup, the State created its own list of Critical Capabilities which are linked to the Federal Core Capabilities. This modification provided the State with a better understanding and granularity in assessing, managing, and improving its capabilities.

County Emergency Preparedness Assessment (CEPA)

CEPA is a framework and tool to help State and local stakeholders assess risk, capabilities, and the potential need for support and resources during emergencies or disasters. CEPA provides for a standardized and repeatable process to understand capabilities at the county level and identify statewide trends. CEPA provides insight into preparedness and response capabilities applicable to a broad range of risks. All counties update their CEPAs every three years.

The State has assessed the Critical Capabilities using the CEPA program noted above. The assessment involves an examination of planning, organization, equipment, training, and exercises (POETE) for each of the Critical Capabilities across a broad range of credible, worst-case events that could occur in the state. The assessment provides the State with information regarding statewide capability trends and potential gaps that can then be planned for and addressed. The State has also examined the degree to which the State has both the ability and capacity to support the Critical Capabilities.

Core Critical Capabilities

The State's list of capabilities is linked from the THIRA/SPR to the ESF annexes under this plan. In addition, the functional annexes to this document include a general overview of the State's resources available to apply to a variety of hazards. Additional resource lists are typically housed in agency-specific documents or hazard-specific and functional annexes, both of which are beyond the scope of this plan. In addition, the State has initiated resource typing consistent with the NIMS resource management component. The typing continues to be incorporated into State plans, policies, and procedures as appropriate, as it evolves.

C. Tests, Training, and Exercising

The State of New York sponsors and conducts a variety of training to improve response capability. This includes varying levels of training in the NIMS curriculum. The State also develops and delivers a wide variety of specialized training, including training to meet federal program and grant requirements. Additionally, many State agencies identify training requirements within their own organization to meet the needs of the agency for that specific discipline. For example, DHSES has formed a multi-agency NIMS Working Group to expand upon NIMS training and resource typing.

The State utilizes an exercise component as part of the planning process to test the effectiveness of an emergency management plan. These exercises vary in scope and cross several State-level plans or annexes. These exercises provide valuable input into plan development and help to ensure that the plan is effective in its scope and application. In addition, while some of these exercises are often linked to a specific grant requirement, other exercises are conducted as part of ongoing or existing programs, such as those for the Radiological Emergency Preparedness Program.

The State of New York has incorporated the Homeland Security Exercise and Evaluation Program (HSEEP). HSEEP consists of both doctrine and policy for designing, developing, conducting, and evaluating exercises and is a threat-and performance-based exercise program that includes a cycle, mix, and range of exercise activities of varying degrees of complexity and interaction. HSEEP is also a program of financial and direct support designed to assist State and local governments with the development and implementation of a State exercise and evaluation program to assess and enhance domestic preparedness. The State of New York is instituting the HSEEP into a host of activities that involve an emergency activation of the State EOC.

D. After-Action Reports (AARs) and Corrective Action Programs (CAPs)

Following an exercise or an actual event, an After-Action Report (AAR) should be completed by all staff involved in the event. The information gathered in the AAR will provide insight into future refinement of the plans encompassed by the CEMP. AARs will be completed as soon as possible following any relevant exercise and will be facilitated by the State OEM for plan updates, ideally at the end of the exercise. The AAR should be completed as soon as possible following the deactivation of the State EOC and provided to the State OEM, ideally within one to two weeks. DPC agencies will support all exercises and AARs.

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Section III: Response

III. Response

A. Alert, Notification, and Plan Activation

The response to an incident may be adequate using only local resources or it may be one that necessitates or warrants a State response. As such, State involvement may be initiated in a variety of ways as various mechanisms are in place to serve as conduits to the request, acquisition, and deployment of State assistance and resources.

Several State agencies continuously operate their own warning points, operational centers, dispatch centers, and/or reporting systems that field requests for State assistance in addition to the daily operating needs. Pursuant to Federal, State, Executive, and Agency-specific protocols, these systems are routinely used for notifications of significant or reportable events and/or make emergency notifications to State personnel. These systems can also be used to receive and/or make additional alerts or notifications, the decisions of which are made by State Executives or the State OEM Duty Officer as per State and/or agency protocols. Included among these is the New York State Watch Center (SWC). State assistance is often provided through these reception points which become more centralized under an overall State response upon activation of the State EOC.

State OEM will assess the potential needs and demands of the incident and then determine the level of activation necessary to effectively manage the response to the incident. The capabilities that are needed to support that activation are also identified and agencies are notified to staff the State EOC using the ESF construct.

Flexibility will be key in activating the ESFs. Not all incidents are the same and not all activations of the ESFs will require the full list of agencies to support the demands of the incident. Therefore, the representation of agencies that will be necessary to support ESFs may vary from incident to incident.

Once the determination has been made to activate the State EOC, the appropriate ESF agencies will be identified and requested to send a representative to the State EOC. Depending on the situation, agencies may need to send additional representatives to support multiple ESFs. In spontaneous events, agencies will be notified via the State OEM Notification System, as appropriate. In preplanned events, the agencies would be summoned via electronic or hardcopy correspondence. A Multi-Agency Coordination (MAC) group conference call would be conducted prior to, or in response to, a large-scale event and activation of the State EOC.

B. State Response Activation

The State EOC maintains several activation levels based upon event severity and the overall level or volume of State response operations. These levels are indicative of the response to a specific hazard or threat and include a host of operational functions and mechanisms. Those functions may be broad in scope, or may be narrow, such as providing assistance in logistics management and support.

The State EOC has four levels of activation that are preceded by day-to-day, steady state operations. During steady state operations, State OEM maintains the State EOC in a readiness posture, while conducting normal day-to-day operations, and conducts surveillance and monitoring of any potential emergency via the SWC.

Level 4 – Enhanced Monitoring: The focus is on State OEM-based actions and reporting. The event warrants extra attention, enhanced monitoring, and/or external communication. State OEM staff may request information or coordination from agencies external (remote) to the State EOC.

- State EOC Command and General Staff, ESF #5 Planning, ESF #7 Logistics, and ESF #15 External Affairs.
- State OEM Regional Staff may be mobilized or deployed.
- The State Incident Management Team (IMT) may be deployed, as needed.
- Agencies may be requested to provide situational status updates remotely.
- Operational coordination may occur with agencies external to the State EOC.
- Depending on the type/scope of incident, DHSES Individual Assistance (IA) and/or DHSES Public Assistance (PA) may have a representative in the State EOC to assist with the transition from Response to Short-Term and Long-Term Recovery.

Level 3 – Partial Activation: A situation that warrants the activation of multiple ESF Coordinators and select member agencies. Additional agencies will be determined at the time of the event, as conditions warrant.

- State EOC Command and General Staff, ESF #5 Planning, ESF #7 Logistics, and ESF #15 External Affairs plus two or more ESFs.
- State OEM Regional Staff may be mobilized or deployed.
- The State IMT may be deployed, as needed.
- Agencies may be requested to provide situational status updates remotely.
- Operational coordination may occur with agencies external to the State EOC.

- Depending on the type/scope of incident, DHSES-IA and/or DHSES-PA may have a representative in the State EOC to assist with the transition from Response to Short-Term and Long-Term Recovery.
- At this point, a MAC group meeting may be convened to discuss the implications of the potential event. The MAC representation will initially include members from agencies as warranted by the hazard.
- If warranted, the Director of State OEM or designee (i.e., Operations Section Chief, EOC Manager) and the activated ESF coordinators will jointly identify which agencies of each ESF are required to support the activation level and the current response.

Level 2 – Full Activation: A situation that warrants the activation of ESFs and most/all member agencies.

- This level may include most or all the ESFs and member agencies.
- The Director of State OEM or designee (i.e., Operations Section Chief, EOC Manager) and the activated ESF coordinators will jointly identify which agencies within the ESFs that are required to support the activation level and the current response.
- Agency Department Operations Centers (ADOCs) are likely to activate at this point.
- State OEM Regional Staff will be mobilized or deployed.
- The State IMT may be deployed, as needed.
- At this point, MAC group will be activated to define the executive policies in the State's response and create overarching strategies that provide general direction for participating agencies and entities.
- The State will consider establishing a Joint Information Center (JIC) under ESF #15 to begin developing and disseminating critical public messaging and advisories based on the situation.

Level 1 – Full State/Federal Response: A situation that warrants the activation of all State ESFs and most/all member agencies, with a Federal Command/General Staff presence and Federal ESFs accounted for in the State EOC.

- The activities of the State ESFs will be integrated with those of their Federal ESF counterparts.
- The Director of State OEM or designee (i.e., Operations Section Chief, EOC Manager) and the activated ESF Coordinators will jointly identify which agencies of each ESF are required to support the activation level and the current response.
- State OEM Regional Staff will remain mobilized or deployed.
- The State IMT may be deployed, as needed.

- State ESF Coordinators will interface and coordinate with the corresponding Federal ESF lead agencies.
- The State will designate a State Coordinating Officer (SCO) to work in unison with the Federal Coordinating Officer (FCO), as identified. The SCO and FCO will be in position at the State EOC until a Joint Field Office (JFO) is established.
- If not activated at the previous response level (Level 2), the State will establish a JIC under ESF #15 to begin developing and disseminating critical public messaging and advisories based on the situation.

The alignment of EOC activation level and the corresponding ICS function and capability can be found in Attachment 2, *State EOC Activation Level Matrix*.

C. Warning and Emergency Information

Article 2-B states that local governments are responsible for providing public warning to their populations. While this capability is realized at the local level, the State can and will support the dissemination of emergency information, as needed, in support of local government. If needed, the State will establish a JIC which will serve as the sole source of official public information regarding all incident activities (federal, State, local). The Joint Information System (JIS) will provide a forum for the coordinated release of all information. JIC operations will be coordinated as stated in the Public Information Annex to the State CEMP.

When implementing a public warning system, the aim is to reach everyone in the whole community. Effective public warnings or alerting may require the use of multiple alerting systems in order to reach the greatest amount of people, including the general population, and at-risk and vulnerable populations. Federal, State, local, territorial, and tribal agencies in NYS have the opportunity to obtain Integrating Public Alert and Warning System (IPAWS) alerting authority. IPAWS is internet based and key to issue an EAS, WEA, or NOAA radio alert. Public warning system operations will be coordinated as stated in a separate annex to the State CEMP.

Local governments in New York also continue to operate locally controlled public warning systems. Local public warning methods may include a mass notification system, a landline telephone notification system, or a mobile app-based notification system. Most of the counties in New York State have adopted NY-Alert and IPAWS as a backup to their general population alert and warning system. The full details of this program, its design, and rules of use are beyond the scope of this plan.

The State has recognized that in some cases, a hazard may impact local government's capability to initiate its alert and warning system. To compensate, State OEM has developed procedures to support the remote activation of NY-Alert and IPAWS at the request of an authorized user when they are unable to do so. State and federal partners may assist local governments if an emergency is widespread, beyond its capabilities, or if backup, coordination, and/or redundancy is needed.

D. Direction and Control of the Response Organization

The State endorses the development of one response organizational structure that will include all responding agencies. State agencies and ESFs will be organized under the framework of the

NIMS ICS as required by NIMS Executive Order 26.1 of 2006 and HSPD-5. As such, a variety of direction and control components may be utilized to manage and coordinate the State's resources in an efficient and effective manner and to provide the interoperability between the local and federal response organizations.

The following is a description of the major components of the State's response organization. The operational plan for each individual ICS Section can be found in the State EOC operational and ESF checklists, as amended.

State Coordinating Officer (SCO)

After a Presidential declaration of an emergency or major disaster, the Governor shall designate the SCO to coordinate State and local disaster assistance efforts with those of the federal government (44 CFR § 206.41). The SCO has the overall responsibility for the coordination of State resources and federal assistance in support of the State and local response to the incident. Typically, the Commissioner of DHSES or the Director of State OEM is designated as the SCO, however, the Governor may designate another individual based on the type of incident.

Command and Multi-Agency Coordination / Executive Policy Group

Unified State response and short-term recovery activities will be coordinated through the State EOC. In smaller incidents, such as those that warrant a Level 4 activation, the State's response will be coordinated by State OEM, on behalf of the Chairman of the DPC or by a State agency in those events where responses are discipline-specific to the agency. This authority may be delegated to others utilizing ICS principles. In larger incidents, the State may utilize a Unified Command structure to manage the State's response. Agency representation in a Unified Command will be based on the hazard and the impact on the state. Further, for incidents warranting a Level 3, Level 2, or Level 1 response, the State will bring together a MAC group to define the executive policies in coordinating the State's response.

The MAC group will be comprised of executive-level agency representatives of the State DPC or individuals who have been delegated the authority to speak on behalf of their agency. The MAC group will serve as a policy-making authority in the State's response and will provide the executive direction in the following:

- Developing incident goals;
- Prioritizing incidents;
- Ensuring agency resource situation status is current;
- Determining specific incident and agency resource requirements;
- Allocating resources to incidents based on priorities;
- Resolving issues of agency overlap;
- Anticipating future agency/regional resource needs;
- Communicating MAC direction back to agencies/incidents; and,
- Providing necessary liaisons with other coordinating facilities and agencies, as appropriate.

The agencies that will be represented in the MAC group will be based on the type of incident and the statutory obligations of the agencies involved. As such, individuals designated to serve on the MAC group should have in-depth agency-specific knowledge, experience in technical

and/or content areas, possess the authority to make agency decisions, and have direct access to top department or agency executives. Additional agencies may be added to the MAC group, as needed.

The Executive Policy Group includes the Governor, members of the Executive Chamber, and key State agency executives that may be deployed to the impacted region(s) to communicate with regional State representatives and local elected officials. This group brings together State leadership in a combined effort to better assess local needs, and to expedite State response activities during an incident. Overall, its purpose is to ensure that State agencies respond expeditiously to operational assignments, and to ensure that the State EOC has a complete picture of agency activities and resource deployments.

MAC policies and strategies will be disseminated by State OEM Executive staff and the State EOC manager to the response organization via briefings, action plans, electronic media, or other means as appropriate. Activities and tactics conducted in support of MAC will be coordinated through the activated ESFs, agency representatives, and to forward locations (i.e., ICP - Incident Command Post) where State agencies are operating.

Agency Intergovernmental Affairs Representatives

The Governor's Office has established Regional Representatives in the field that are actively engaged in day-to-day emergency preparedness issues. These representatives work in coordination with DHSES Regional Staff members and elected officials at the county and local level.

During an incident, the Governor's Regional Representatives will work closely with local elected officials in the impacted area(s) to address local needs and concerns in regard to the State response. The Governor's Regional Representatives provide communication and coordination to an Intergovernmental Affairs staff member at the State EOC.

The Intergovernmental Affairs Liaison Function serves as the focal point to address State and local issues and concerns from local elected leaders. The Intergovernmental Affairs Liaison maintains close communication and coordination with the Executive Chamber to address such issues and coordinates with the State OEM Executive to respond to incident needs, as appropriate.

Command Staff Positions

- Safety

A Safety Officer may be appointed to assess hazardous and unsafe conditions associated with the operation of the State EOC. This position will likely be staffed in the State EOC for Level 2 or Level 1 activations. While this position may be staffed by a small number of individuals, DPC agencies may be called upon to provide technical expertise in a specific subject to support the Safety Officer. The Safety Officer of the State EOC has no responsibility for the field activities. The identification and use of a Safety Officer in the field will be at the discretion of the command structure in place at the ICP.

- Legal

A Legal Officer may be appointed in the command element to address any potential legal issues associated with the response and short-term recovery. This position will be staffed at the Level 2 or Level 1 activation level, or other levels, as warranted. Further, this individual will work in unison with the ICS structure in place, primarily in support of the MAC, if established. The Legal Officer will coordinate and address legal issues that may arise with counsel from other State agencies, as appropriate.

- Public Information / Joint Information Center

A JIC may be established in conjunction with other State agencies through the activities of ESF #15 and in coordination with the Governor's Communications Office (Press Office). The JIC will serve as the sole source of official information regarding all incident activities (federal, State, local). The JIC will provide a forum for the coordinated release of all information. Public Affairs staff from DPC agencies will be utilized to support the JIC, as appropriate. JIC operations will be coordinated as outlined in the Public Information Annex to the State CEMP.

General Staff Operations and Emergency Support Functions

State response operations will be coordinated from the State EOC located in the Public Security Building #22 on the Harriman State Office Campus in Albany. When activated, the State EOC's coordinative functions are managed by the State OEM. Should the State EOC become unusable, operations may relocate to an alternate State EOC located at the Hudson Valley Transportation Management Center in Hawthorne. The State also possesses regional offices and several other identified sites that can be used as alternate EOCs. The decision to activate an alternate EOC will be based on the situation at that time. State operations may be organized using any and all components of ICS to effectively manage the State's response.

- Operations

For Steady State Operations and Level 4 Activations: The State EOC Manager is responsible for the resource requests moving through ESF #7 and the coordination with field activities. The State OEM Duty Officer may be made aware of these missions through the SWC or reporting by other State agency liaisons.

For Level 3, 2, and Level 1 Activations: Response activities will be coordinated by the State EOC Manager and Operations Section Chief through the State's ESFs. Branch Directors may be activated to provide coordination for mission/resource support.

It is highly likely that during Level 2 or Level 1 activations, the State may utilize field coordinators or ROCs to facilitate on-scene incident management activities. In such cases, the State EOC will serve in a supportive role to activated field components as qualified field coordinators will control State field operations.

- Information and Planning (ESF #5)

At the State level, coordinating and managing the delivery of information and planning during an active emergency falls upon the agencies that comprise the DPC. The main objective for ESF #5 is to minimize the impact on communities by managing and providing timely, reliable, and accurate situational information aimed at informing decision makers and field-level responders.

ESF #5 will be required to maintain continuous data and information collection and analysis to have accurate and up to date situational awareness. ESF #5 gathers information for the specific purpose of planning, decision-making, developing incident reports, and incident action planning. Activities encompassed within the scope of ESF #5 include:

- Serve as a focal point for the receipt and distribution of incident information.
- Coordinate with regional local, tribal, and private sector operations centers and emergency management organizations to facilitate the flow of situational information.
- Collect, process, analyze, and distribute critical information that guides response and short-term recovery activities.
- Through scientific and approved/official sources, provide characterization of storm severity, impacts, forward speed, and cascading effects.
- Make estimates and predictions on chemical, radiological, or toxicological exposures.
- Collect and summarize damage assessment data and track local response activities, emergency declarations, and impacts.
- Coordinate incident planning in the State EOC including development of information products such as briefings, status board, reports, and legacy files and records.

In accomplishing its mission, ESF #5 will work closely with other State ESFs and credible sources to provide situational status updates to guide incident action planning activities by the State EOC Command Staff and the MAC group. In larger events, multiple agencies may be called upon to support the section in varying capacities. As such, additional agencies may be requested to support reporting requirements and/or serve as agency-specific technical specialists (i.e., fire behavior, environmental) to support ESF #5. Lastly, ESF #5 has the primary responsibility to coordinate the production and distribution of the Leader's Intelligence Report (LIR) with the activated ESFs and ADOCs, as appropriate.

- Logistics (ESF #7)

At the State level, logistics is organized under the mission of ESF #7. Responsibilities of ESF #7 may include efforts such as donations management, locating, procuring, and issuing resources (i.e. supplies, office space, office equipment, fuel, contracting services, personnel, heavy equipment, and generators), and providing direct and active support for emergency response and recovery efforts during the initial response and following the disaster. Maintaining records and systems that track the available and committed resources involved in disaster response will be accomplished by ESF #7. It will also monitor costs and vendor research associated with the disaster response including costs of purchasing or contracting goods and services, transportation, and above normal staffing and lodging.

ESF #7 will work in close coordination with the Finance Unit for acquiring resources through emergency purchases or contracts. Large logistical operations, including mobilization center(s) and distribution site operations will be managed as identified in the Logistics Annex to the State CEMP.

- Finance Unit

The Finance Unit will serve as the official source in reviewing and authorizing emergency purchases and contracts with other parties, including the private sector and federal officials. The Finance Unit will serve as the oversight in documenting response-related costs that have been incurred as a direct result of the emergency or disaster.

Area Command/Regional Operations Centers (ROC)

In the event where multiple incidents are occurring, the State may establish an Area Command or ROCs to coordinate State response and short-term recovery activities. In coordination with the goals and objectives established by the MAC group, the State Area Command/ROC will coordinate the development of individual incident objectives and strategies in support of the local response, as well as establish priorities and allocate critical State resources in the region where local response is being supported by that Area Command/ROC.

New York State's risk profile includes the potential for incidents to surpass municipal or county borders, resulting in a larger regional incident. In catastrophic instances, the impact upon one or more local or county governments may be of such magnitude that they choose to delegate incident management to another authority. In such an instance, the State may establish an Area Command which provides coordination and incident management for all resources operating within the affected region.

State Incident Management Team (IMT)

The State IMT is coordinated by the State OEM and is comprised of personnel from various State, county, and local entities that are trained and experienced in Type II All-Hazard Incident Management. The State IMT is a State resource under the DPC that is designed to assist emergency management and incident command personnel in ensuring a prompt, efficient, and organized response to emergencies and disasters by providing a team of personnel who are highly trained in advising on the implementation of ICS or in establishing and implementing ICS.

The capabilities of the State IMT enable it to have the training, background, and experience to be flexible enough to effectively function in many situations, including a field assignment at an ICP or at local, county, or State EOC. Team members have significant experience in both ICPs and EOCs for large incidents and disasters. Given this flexibility, the State IMT is capable of providing assistance to an impacted local and/or county government while providing the coordination and interface with national IMTs and the State EOC, as required. In addition, the State may utilize the capabilities of other state IMTs via the Emergency Management Assistance Compact (EMAC).

Local Emergency Operations Center (EOCs)

As needed, local EOCs will be activated by the county emergency manager and/or the chief elected official of that jurisdiction. State agency staff can be utilized to support an activated EOC via working through their respective regional offices or assigned to that EOC through the command structure in place at the State level. Many State DPC agencies utilize regional offices, and provide support to local EOCs, as appropriate. In most cases, DPC regional staff will serve as a conduit for requests and coordination between the State and local levels during an emergency. In large events, regional staff may fall back and support the IMT, if deployed, or may support an Area Command/ROCs.

Agency Departmental Operations Centers (ADOCS)

State agencies incorporate the use of ADOCS to assist in coordinating resources in support of State response activities. The ADOCS are agency-specific centers that serve as the focal point for a State agency to respond to agency-specific resource requests. They directly control the resources that fall under the purview of that agency. The ADOCS include their own agency-

specific ICS structure to manage their supplies, equipment, personnel, and situational information in support of the overall incident management structure.

E. Resource Management

Any request that is submitted into the State EOC will first be entered into the NYS Incident Management Software System New York Responds (NYR). New York State currently uses NYR to submit and share vital disaster-related information with local governments and State agencies. NYR tracks incident reports and resource requests with real-time tracking of assets.

The State EOC Manager, Deputy EOC Manager, or Mission Assignment Specialist must then approve or deny with justification the request that has been submitted. If it is determined that the request will be denied, then the requester will be notified. In some instances, additional information may be needed for the request.

If the request is approved, then the request will be assigned to the Operations Section Chief (OSC) or ESF #7 Logistics. Once the request is assigned to the Operations Section Chief, the request is passed onto the appropriate Branch Director and ESF for completion.

If the resource request is actionable by the ESF, then the ESF will carry out the mission and provide coordination within the area of operation. If some or all elements of the mission are not actionable by the ESF, then the OSC will provide coordination across the Branch Directors and other ESFs, including ESF #7 Logistics. If none of the mission or resource request elements are actionable by any State ESFs, then ESF #7 will coordinate with FEMA, EMAC, or consider other procurement options as necessary to satisfy the mission.

A chart delineating the State EOC mission flow process can be found in Attachment 3: *NYS EOC Mission Flow Chart*.

Operations Section

The Operations Section is responsible for all tactical incident operations and implementation of operational plans applicable to the incident. The State ESFs are located under the Operations Section in the State EOC.

- Operations Section Chief

The Operations Section Chief (OSC) reports to the State EOC Manager and is responsible for the management of all operations directly applicable to the incident. The OSC directs the preparation of operational plans, requests, and releases resources from ESFs, monitors the operational progress of each ESF, and makes actionable changes, as warranted. The OSC will coordinate requests for assistance with ESFs for resource assignment and asset deployment.

The OSC will evaluate and monitor operations and adjust, as needed. The OSC is responsible for communicating and maintaining tactical and ESF situational awareness of both the State EOC and field operations. The OSC coordinates and consults with the Planning Section Chief, Safety Officer, Logistics Section Chief, ESF Coordinating Agencies, and technical specialists on implementation of appropriate strategies and selection of tactics to accomplish incident objectives.

- Operational Branches and Branch Directors

The Branch Director, when activated, is under the direction of the OSC and is responsible for the functional branch they are assigned. The Branch Directors will supervise branch operations and are responsible for coordinating the ESFs within one of the three operational branches they are assigned to. The Branch Director is responsible for resolving any issues or logistical problems reported by the ESFs, report to the OSC on branch operations, and provide the OSC with alternate tactics/operations identified by the ESFs to accomplish incident objectives.

- Infrastructure Branch:
 - ESF #1 Transportation;
 - ESF #2 Communications;
 - ESF #3 Public Works and Engineering; and,
 - ESF #12 Energy.
- Public Safety Branch:
 - ESF #4 Firefighting;
 - ESF #9 Search and Rescue;
 - ESF #10 Oil and Hazardous Materials Response; and,
 - ESF #13 Public Safety and Security.
- Health and Human Services Branch:
 - ESF #6 Mass Care, Emergency Assistance, Housing, and Human Services;
 - ESF #8 Public Health and Medical Services; and,
 - ESF #11 Agricultural and Natural Resources.

If conditions in the State EOC warrant the implementation of a Branch Director to maintain a manageable span of control, each ESF will be required to coordinate its operations under the direction of the appropriate Branch Director.

- ESF Coordinating Agency and Member Agencies

The ESF Coordinating Agency reports to the OSC or the appropriate Branch Director, when activated. The ESF Coordinating Agency is responsible for the overall coordination and management of the ESF. The ESF Coordinating Agency reports work progress, resource status, and other important information to the OSC or Branch Director, when activated.

The ESF Member Agencies report to the ESF Coordinating Agency. The ESF Member Agencies are responsible for working collaboratively with the Coordinating Agency and provide support in all tasks, missions, and assignments to accomplishment of incident objectives. Member Agencies report work progress, resource status, and other important information to the ESF Coordinating Agency.

F. Roles and Responsibilities

The Role of the Disaster Preparedness Commission

- Incidents for Which the Governor Declares a State Disaster Emergency

The DPC directs and coordinates the State's response and short-term recovery from incidents in New York State following the declaration of the State Disaster Emergency by the Governor. If a Presidential emergency or disaster declaration has been made, the SCO coordinates all State response and short-term recovery activities for the DPC.

- Incident Coordination in the Absence of a State Disaster Emergency Declaration

In the absence of a State Disaster Emergency Declaration, the Director of State OEM will ensure the establishment of a MAC group to facilitate the coordination of the State's response and/or mobilization efforts using the organizational framework outlined in this Plan. The Director of State OEM will direct the coordination of non-disaster incidents and/or pre- and early incident mobilizations for all incidents due to their complexity and/or involvement of multiple agencies or levels of government.

DPC agency representatives will participate in the State's response by coordinating the deployment of the resources of their agency. Under DPC agency representative direction, agencies will respond under the overall State response structure. This will include responsibilities during an activation in which individual agencies are represented or with the activation of the State ESFs.

State Emergency Support Functions (ESFs)

The State has created 14 ESFs to support preparedness, response, and recovery efforts within the state. The ESFs that are activated in support of the State response will collectively utilize the resources available to them pertinent to the operation in three key areas: Situational Awareness, Resource Management, and Strategic Planning.

ESF Coordinating Agencies will manage such actions within the group to effectively respond to the demands of the incident. ESF Coordinating Agencies will manage ESF actions based on overarching policies and authorities, statutory or otherwise, as outlined in each ESF Annex, any hazard-specific annex to the State CEMP, and those objectives established by the MAC group.

Activation of the ESFs is not automatic. As an incident expands in scope and/or magnitude and significant State resources are needed or anticipated, the State ESFs can be activated at the State EOC as part of the Operations Section, as needed. Each ESF is comprised of representatives from multiple State agencies, depending upon the scope of the ESF's responsibilities. Each ESF has protocols to guide their actions during an incident. The ESFs are:

- ESF #1 – Transportation

The mission of ESF #1 is to coordinate resource support and assistance for the State's transportation systems and infrastructure during an event of incident response, which includes all modes of transportation that may be impacted. Overall, ESF #1 is responsible for transportation response, information and planning, debris clearance, damage assessments, restoration of transportation infrastructure, and management of the Area Transportation Infrastructure Groups (ATIGs). The mission of ESF #1 also includes air operations support, which is managed by the Air Operations Group. The ESF #1 Coordinating Agency is the Department of Transportation (DOT).

- ESF #2 – Communications

The mission of ESF #2 is to coordinate the reestablishment of the critical communications infrastructure, facilitate the stabilization of systems and applications, and coordinate communications support to response efforts. Its role includes establishing emergency communications between public safety agencies at all levels of government. The ESF #2 Coordinating Agency is the DHSES Office of Interoperable and Emergency Communication (OIEC).

- ESF #3 – Public Works and Engineering

The mission of ESF #3 is to quickly and efficiently provide coordination, technical assistance, engineering expertise and debris and construction management to protect public works and critical infrastructure. The ESF #3 Coordinating Agency is the Department of Environmental Conservation (DEC).

- ESF #4 – Firefighting

The mission of ESF #4 is to coordinate effective response efforts in support of detection and suppression of wildland, urban fires, and wildland/urban interface fires. ESF #4 will support fire-based assets in response to Chemical, Biological, Chemical, Biological, Radiological, Nuclear, and high yield Explosives (CBRNE) events with ESF #10. The ESF #4 Coordinating Agency is the DHSES Office of Fire Prevention and Control (OFPC).

- ESF #5 – Information and Planning

The mission of ESF #5 is to facilitate and support multi-agency planning and coordination for incidents requiring activation of the State EOC. ESF #5 gathers information for the specific purpose of planning, decision-making, developing incident reports, and incident action planning. The ESF #5 Coordinating Agency is DHSES OEM.

- ESF #6 – Mass Care, Emergency Assistance, Housing and Human Services

The mission of ESF #6 is to support and facilitate coordination of Mass Care, Emergency Assistance, Housing, and Human Services throughout the state during response and short-term recovery of an incident. ESF #6 will coordinate these vital services to minimize impacts on affected populations. The ESF #6 Coordinating Agency is the DHSES-IA and DHSES OEM.

- ESF #7 – Logistics

The mission of ESF #7 is to direct and coordinate logistics for comprehensive incident management. Responsibilities of ESF #7 may include efforts such as donations management and locating, procuring, and issuing resources. ESF #7 will provide direct and active support for emergency response and recovery efforts during the initial response and following the disaster. The ESF #7 Coordinating Agency is DHSES OEM.

- ESF #8 – Public Health and Medical Services

The mission of ESF #8 is to coordinate response activities during a public health or medical emergency requiring activation of State EOC. ESF #8 will direct and oversee response to public

health emergencies, emergency medical services operations, fatality management, and disease surveillance. The ESF #8 Coordinating Agency is the Department of Health (DOH).

- ESF #9 – Search and Rescue

The mission of ESF #9 is to manage and coordinate the activities associated with the deployment of search and rescue resources to provide specialized lifesaving assistance. The ESF #9 Coordinating Agency is the DHSES OFPC.

- ESF #10 – Oil and Hazardous Materials Response

The mission of ESF #10 is to coordinate support in response to an actual or potential discharge of oil and/or hazardous materials. ESF #10 will support CBRNE response and decontamination and coordination of storage, treatments, and disposal of hazardous materials, including contaminated debris. The ESF #10 Coordinating Agency is the DEC.

- ESF #11 – Agriculture and Natural Resources

The mission of ESF #11 is to coordinate functions designed to protect the State's food supply, plan for and respond to plant and animal pest and disease outbreaks, ensure the safety and well-being of agricultural animals, and support the protection of natural, historical, and cultural resources and properties. The ESF #11 Coordinating Agency is the Department of Agriculture and Markets (DAM).

- ESF #12 – Energy

The mission of ESF #12 is to facilitate the restoration of damaged energy systems and components. ESF #12 will be used to support the State's response during a disaster when there is a negative impact to any of New York State's energy supply including petroleum products, coal, electricity, and natural gas. The ESF #12 Coordinating Agency is the Department of Public Service (DPS).

- ESF #13 – Public Safety and Security

The mission of ESF #13 is the coordination of security services to protect citizens throughout New York State. ESF #13 will provide law enforcement augmentation, support traffic and access control points, and provide evacuation assistance. The ESF #13 Coordinating Agency is the Division of State Police (DSP).

- ESF #14 – Reserved for future use.

- ESF #15 – External Affairs

The mission of ESF #15 is to coordinate and distribute all State-level public information for an emergency or disaster situation, including the establishment and management of a JIC. The ESF #15 Coordinating Agency is the DHSES Public Information Office (PIO).

State Incident Management Team (IMT)

The State IMT is generally available to deploy throughout New York State within several hours of a request. The team, experienced in all ICS Command and General Staff functions, will arrive ready to provide support and assistance to the requesting jurisdiction. The requesting jurisdiction will retain ultimate authority through the duration of the incident while the IMT provides management assistance on its behalf. The requesting jurisdiction may choose to delegate responsibility for the management of the incident to the State IMT through a formal delegation of authority.

G. State/Federal Coordination

The State incident management system, as defined in this plan, will be utilized to identify and prioritize response objectives that are of concern to the State. The SCO will utilize the NIMS structure to identify, support, and/or integrate State on-scene and/or DPC Coordinators with the local incident management system Unified Command. This will assist with the coordination necessary to accomplish State objectives with local, area, or regional Incident Management Systems.

The use of the NIMS response structure will assist with necessary strategic planning, incident specific organizational structure(s), and logistical, technical, financial, and operational support to accomplish identified objectives with local, area, and/or regional incident command systems. When necessary, prioritization and/or identification of State response objectives or resource allocations will be strategically coordinated by the SCO, MAC group(s), and/or supporting structures utilizing the NIMS framework as indicated in this plan.

Generally, federal resource support and assistance is not instantaneous. ESFs are the primary means through which the federal government provides assistance to the State and serve as the coordination mechanism to provide assistance to State, local, and tribal governments, or to federal departments and agencies conducting missions of primary federal responsibility. ESFs were first established in the Federal Response Plan and carried forward to the NRF as the mechanism to group federal capabilities and resources into the functions that are most likely needed during actual or potential incidents where a federal response is required (e.g., Transportation, Firefighting, Public Health, etc.).

Community Lifelines

Community lifelines emerged in the NRF (2019 version). Response efforts are ultimately aimed at stabilizing an impacted community, and the lifelines that support the affected population. Figure 2 below identifies the seven community lifelines: Safety and Security; Food, Water, Shelter; Health and Medical; Energy (Power & Fuel); Communications; Transportation; and Hazardous Material.



Figure 2: Community Lifelines - National Response Framework (2019)

The seven community lifelines represent only the most basic services a community relies on and which, when stable, enable all other activity within a community. The ongoing assessment of these lifelines help to reframe incident information to provide decision makers with root cause and impact analysis.

Emergency Support Function Construct

Response efforts from the State ESFs are linked to the State's Critical (Core) Capabilities. The delivery of the Critical Capabilities helps to stabilize a community and support an effective response. When operating in New York State, Federal ESFs possess the same attribute and help to support the implementation of Core Capabilities. Together, this construct maximizes the effectiveness of federally supported, State managed, and locally executed response activities.

Each ESF is composed of primary and support agencies. The NRF identifies primary agencies based on authorities, resources, and capabilities. Support agencies are assigned based on resources and capabilities in each functional area. Additional discussion on roles and responsibilities of ESF coordinators, primary agencies, and support agencies can be found in the introduction to each of the ESF Annexes to the NRF.

Not all Incidents of National Significance result in the activation of ESFs. It is possible that an Incident of National Significance can be adequately addressed by DHS and other federal agencies through activation of certain NRF elements (i.e., Principal Federal Official) without the activation of ESFs. Similarly, operational security considerations may dictate that activation of NRF elements be kept to a minimum, particularly in the context of certain terrorism prevention activities. The federal response to actual or potential Incidents of National Significance will likely be provided through the partial or full activation of the ESF structure as necessary. The ESFs provide staffing for the National Response Coordination Center (NRCC), Regional Response Coordination Center (RRCC), JFO, and ICP, as warranted.

Under the current State EOC response construct, the State ESFs will interoperate and coordinate directly with their Federal ESF partners. This allows for more efficient communication, managing resource requests, and reporting between New York State and the federal government during major events and disaster emergencies. The lines of coordination between the State ESFs and Federal ESFs will occur mostly during State EOC Level 1 activations.

Unified Coordination

To help deliver federal support or response capability at the incident level, coordinating structures are aligned to incident-level structures. In incidents warranting or necessitating a federal response, DHS/FEMA will establish a Unified Coordination Group (UCG) composed of senior leaders representing State, tribal, territorial, insular area, and federal interests and, in certain circumstances, local jurisdictions, the private sector, and NGOs. Unified coordination is typically directed from a JFO and may be established at the State EOC prior to JFO activation. The composition of the UCG varies from incident to incident, depending on the scope and nature of the disaster. The UCG does not manage on-scene operations but supports on-scene response efforts and conducts broader support operations that may extend beyond the incident site.

Figure 3 shows an example of a unified coordination organization that might be employed in response to a major incident, such as a terrorist attack. It includes federal agencies that conduct on-scene, tactical-level activities based on statutory authority, that would work in conjunction with their counterpart local, State, tribal, territorial, and/or insular area government agencies, to manage the incident.

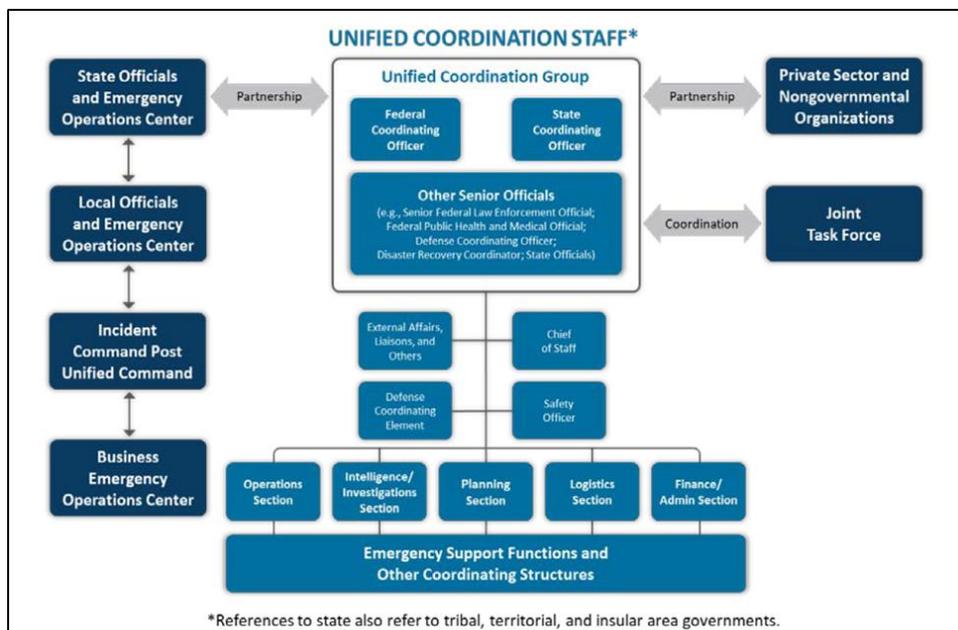


Figure 3: Example of State/Federal Unified Coordination Group - National Response Framework

ESFs may be selectively activated for both Stafford Act and non-Stafford Act incidents where federal departments or agencies request DHS assistance or under other circumstances as defined in HSPD-5. ESFs may also be activated by the ESF Coordinators. The ESF structure provides a modular structure to identify the precise components that can best address the requirements of the incident.

Federal Support Without a Stafford Act Declaration

Most incidents will not result in a federally declared disaster under the Stafford Act. Some incidents may occur that require a coordinated national response absent a declaration, including pre-landfall operations for hurricanes, or responses to oil/hazardous materials spills. In such cases, the President may designate, or the federal agencies involved may agree to recognize an agency to serve as the Lead Federal Agency (LFA) for the response. The LFA typically activates the response structures appropriate to its authorities and employs NIMS and the NRF to coordinate the federal response. Details regarding federal operations for non-Stafford incidents are contained within the relevant statutes, policies, and plans. Federal ESFs may be activated and deployed to help manage any response in support of the LFA.

Federal Response with a Stafford Act Declaration

The Governor can request federal assistance under the Stafford Act, which authorizes the President to provide financial and other assistance to the State. While federal assistance under the Stafford Act may only be delivered after a declaration, FEMA may pre-deploy federal assets

when a declaration is likely and imminent. The Stafford Act provides for two types of declarations:

- An emergency declaration is more limited in scope than a major disaster declaration, involves fewer federal programs, and is not normally associated with recovery programs. However, the President may issue an emergency declaration prior to an actual incident to lessen or avert the threat of a catastrophe. Generally, federal assistance and funding are provided to meet specific emergency needs or to help prevent a catastrophe from occurring.
- A major disaster declaration provides more federal programs for response and recovery than an emergency declaration. Unlike an emergency declaration, a major disaster declaration may only be issued after an incident.

New York State Comprehensive Emergency Management Plan

Volume 2

Response and Short-Term Recovery Plan

Section IV: Short-Term Recovery

IV. Short-Term Recovery

A. Overview

There is no clear delineation of when disaster response activities transition into short-term recovery activities. As with all disaster and emergency-related activities, any transition to a new phase will be dependent upon the needs of the response and the identified needs of the individuals/communities affected. In general, disaster response addresses the immediate aftermath and life safety issues of a disaster or emergency, while short-term recovery activities begin as the need for immediate life-saving activities diminishes. At times, these phases will often overlap with one another.

The scope of the State's involvement with disaster recovery activities will expand with the level of severity of the disaster. It is understood that a disaster that did not warrant a State or Federal Disaster Declaration could most likely be handled at the local level, using existing local and State programs and resources. As the scope of the disaster increases, and as the financial impact grows beyond the ability of local government to absorb within existing resources, the level of active participation by State and federal programs and personnel will increase.

A variety of forces may influence the direction of the recovery process. The State of New York will endeavor to assist local governments, businesses, and citizens in recovering from the impacts of any emergency, where possible. Hazard mitigation measures may be incorporated into recovery activities to lessen the impact of reoccurrence, or to eliminate the likelihood of a reoccurrence. Mitigation speeds recovery and is key to decreasing the time it takes to rebuild and recover after a disaster. Long-term hazard mitigation planning and projects enable communities and individuals to break the cycle of disaster damage, reconstruction, and repeated loss.

Emergency response operations include a variety of measures that are taken to protect public health and safety. As the incident begins to transition from the response phase to the recovery phase, the focus begins to shift to rebuilding a community and demobilizing State response activities and resources. The recovery process typically includes both short-term and long-term actions that can be taken to restore a community to pre-disaster state, or better. Short-term recovery activities include those actions that can be taken to restore essential services and sectors that are vital to a community. Long-term recovery actions include rebuilding and capital programming efforts while incorporating mitigation measures into the recovery process. The following identifies the actions the State will take in managing short-term recovery efforts. Long-term recovery efforts can be found in Volume 3 of the State CEMP, *Long-Term Recovery Plan*.

B. Utilizing the Response Structure

Situational Information

The State's response organization will be instrumental in initiating the short-term recovery process. Situational assessment will assist emergency managers and decision makers with incident management decision-making utilized in the transition of response to short-term recovery phases. In addition to the reporting structures that will exist, a variety of data collection points can be utilized to begin collecting data for the recovery process. These collection points include information obtained via:

- The Situation Unit;
- The MAC group, if established;
- ESFs;
- Area Commands/ROCs or the IMT, if deployed;
- State OEM Regional Offices and other State agencies;
- DHS/FEMA Watch Center or fusion center;
- NYR; and,
- Media sources and outlets.

The information obtained from all the reporting mechanisms will be captured by the Situation Unit in the State EOC. A Disaster Recovery Specialist may be assigned to the Documentation Unit to begin collating the information for State programs and a potential Federal Disaster Declaration.

Agencies and Emergency Support Functions Activities

Executive Law §21(3)(g) requires the DPC to assist in the coordination of federal recovery efforts and to coordinate recovery assistance by State agencies and private entities. To assist in the recovery efforts, the DPC may assign responsibilities to State agencies that are above and beyond an agency's normal, day-to-day obligation. The delineation of these activities will be coordinated through the MAC group to the activated ESFs, agency liaisons, and departmental operations centers, as appropriate.

The Annexes developed by each of the ESFs identify short-term recovery measures that would be taken by their respective group. These include the following Operational Branches and their activities:

- Infrastructure Branch:
 - Conduct damage assessments to determine facility and infrastructure damages and status;
 - Provide technical advice and evaluation, engineering services, and contracting for construction management and inspection;
 - Contract for emergency repairs and provides real estate support to assist State agencies in meeting goals related to lifesaving and life-sustaining actions; and,
 - Provide local governments with support and assistance with short-term recovery efforts.

- Public Safety Branch:
 - Provide resource support to local emergency services in establishing and maintaining a state of readiness consistent with generally accepted standards; and,
 - Support post-incident assessments, evaluations, and legal actions resulting from a hazardous chemicals release.

- Health and Human Services Branch:
 - Support a variety of public health-related functions including follow-up epidemiological surveillance, support for health and hospital network capabilities, and issuance of public health advisories on health issues that stem from the disaster;
 - Provide continuing support for mass care, emergency assistance, housing, and human services, as well as disaster mental health services and the collection of information for reporting victim status and assisting in family reunification; and,
 - Provide support for the operation of disaster assistance services centers and disaster recovery centers.

Key State agencies, which are represented in each ESF, have a variety of other capabilities and programs that can be administered to support both short-term and long-term recovery. These programs, as well as the appropriate State/Federal funding mechanisms, can be found in Volume 3 of the State CEMP, *Long-Term Recovery Plan*.

C. State Disaster Emergency

Whenever the Governor, on his/her own initiative or pursuant to a request from one or more chief executives, finds that a disaster has occurred or may be imminent for which local governments are unable to respond adequately, he/she shall declare a State Disaster Emergency by executive order.

Whenever the Governor shall find that a disaster is of such severity and magnitude that effective response is beyond the capabilities of the State and the affected jurisdictions, he/she shall make an appropriate request for federal assistance available under Federal law, and may make available out of any funds provided under the governmental emergency fund or such other funds as may be available, sufficient funds to provide the required State share of grants made under any federal program for meeting disaster related expenses including those available to individuals and families (NYS Executive Law § 28).

D. Federal Disaster Assistance

As part of the request for the declaration process, it is necessary to conduct a Preliminary Damage Assessment (PDA). A PDA typically consists of a combined team of local, State, and federal engineers inspecting the damaged facilities and infrastructure and developing an estimate of the cost required to restore the facilities to their pre-disaster condition. The summation of all the individual projects' costs is then used by the State to demonstrate to DHS/FEMA that federal assistance is warranted. If it is determined during the PDA that some applicants have urgent funding needs regarding emergency work, then Immediate Needs Funding (INF) may be provided. Thus, when a major disaster is declared, DHS/FEMA may provide an applicant with up to 50% of the Federal share of the cost of such emergency work and pay those funds within 60 days after the disaster declaration. A PDA is a necessary part of

the federal Presidential Major Disaster Declaration request. Many State agencies have the resources and capabilities to support the PDA process. The request and utilization of those resources will be coordinated through the State EOC and ESF #6, with DHSES-IA.

If a disaster escalates to the point of a Federal Disaster Declaration, there are a wide range of federal assistance programs which are made available to help affected municipalities, public and private organizations, and individuals recover from a disaster. The three main assistance programs, Individual Assistance (IA), Public Assistance (PA), and the Hazard Mitigation Grant Program (HMGP), are administered by the State, working with FEMA. The IA program is administered by the FEMA. IA Disaster Unemployment Assistance is administered by the State's Department of Labor. IA Disaster Case Management is administered by DHSES. Other key federal programs, which originate from various other federal agencies, are administered directly by the federal agencies or by their counterparts at the State level. There are also numerous federal regulations that support short-term recovery efforts regarding IA and PA. It is beyond the scope of this document to reference each program and each implementing regulation.

The primary federal mechanisms for PA are identified in Federal ESF #3 Public Works and Engineering. ESF #3 is structured to provide public works and engineering-related support for domestic incident management to include preparedness, prevention, response, recovery, and mitigation actions. Activities within the scope of this function include pre and post-incident assessments of public works and infrastructure, executing emergency contract support for life saving and life-sustaining services, providing technical assistance to include engineering expertise, construction management, contracting and real estate services, providing emergency repair of damaged infrastructure and critical facilities, and the implementation and management of the DHS/FEMA Public Assistance program and other recovery programs. Implementation and management of the DHS/FEMA Public Assistance program and other recovery programs between and among federal, State, and tribal officials, to include efforts to permanently repair, replace, or relocate damaged or destroyed public facilities and infrastructure, are coordinated as part of the long-term community recovery under the NDRF, which has superseded Federal ESF #14.

E. Governor's Authorized Representative

Following a Presidential Disaster Declaration, federal assistance will be provided in accordance with applicable laws, regulations, and the FEMA/State agreement. In this agreement, the Governor will designate a Governor's Authorized Representative (GAR) who shall administer federal disaster assistance programs on behalf of the State and local governments or other grant or loan recipient (44 CFR §206.41(d)). The GAR must be designated by the Governor; however, this role is typically filled by the DHSES Commissioner and/or Deputy Commissioner of Disaster Recovery Services.

F. Emergency Work and Protective Measures

Certain types of response and short-term recovery activities can be considered as emergency work and eligible for federal reimbursement. Emergency work can be either Category A, which is debris removal, or Category B, which involves emergency protective measures, such as sandbagging, erecting warning devices, and search and rescue. Emergency work should be completed within 6 months from the date of the disaster declaration.

Under Category A, for emergency debris removal to be eligible for reimbursement, it must eliminate an immediate threat to life, health, and safety, and/or eliminate an immediate threat to improved property and avert or lessen the threat of a major disaster.

Under Category B, the State can utilize a variety of emergency protective measures in responding to an emergency. To be eligible for reimbursement, emergency protective measures must eliminate or reduce an immediate threat to life, public health, or safety. It may also eliminate or reduce an immediate hazard that threatens significant damage to improved public or private property.

G. Demobilization of Response Operations

In most cases, the level of State response activities will have a correlative effect on the level of recovery-related activities. Often, the level of response activity serves as a barometer in determining where the State is in its response to the emergency. During the height of the response and the “emergency phase,” the State ESFs can expect to support a variety of resource requests and agencies will conduct tactical operations in support of the response organization. As response operations and requests for assistance begin to diminish, recovery efforts begin to be incorporated into operational periods, operational planning cycles, and tactical evolutions conducted in the field.

The collection of information on the status of State response activities will be captured by the Situation Unit. This information will be presented in the reporting process as part of the operational briefings to the agency liaisons, functional branches, the State EOC Manager, and the MAC group, if activated. These reporting processes will assist the Command Staff in deciding the State EOC activation level and agency participation needed to support the State’s response.

If the decision is made to begin demobilizing, the EOC Planning Section will incorporate the use of a Demobilization Unit, as needed, to develop a list of options in demobilizing the State EOC and forward positions that are staffed. The Demobilization Unit would be activated within ESF #5 to coordinate the release of incident resources to their home base or next assignment. Demobilization will be based on incident priorities, objectives, and the tactical needs of the incident. The Demobilization Unit is responsible for the development of the Demobilization Plan and assisting sections/units in ensuring that an orderly, safe, and cost-effective movement of personnel and equipment is accomplished. The Demobilization Unit will coordinate activities with the Command and General Staff, Section Coordinators, and the State ESFs. Once approved, the Demobilization Plan will be distributed to all State agency representatives, ESFs, and to ADOCs for implementation.

H. Activation of Recovery Support Functions

During a large-scale disaster, the State ESFs will activate and support the needs of the incident. As the State EOC activation ceases, and the JFO activates, the federal government will transition from ESFs to implement the RSFs. Although the RSF structure builds upon the ESF structure, RSFs are different from ESFs in that they have a different set of mission objectives, time spans, and skill sets.

As the State's response demobilizes, agencies will reposition to support the RSF structure. As outlined in each ESF Annex, the State has aligned the agencies that comprise each of the ESFs into a corresponding role within a State RSF. This alignment is consistent with the Federal RSF construct as warranted in the NDRF. NYS CEMP Volume 3 *Long-Term Recovery* sets the foundation for the State's RSFs to effectively interoperate with federal disaster recovery components as identified in the NDRF.

Attachment 1: Listing of State Hazard-Specific, Functional and Support Annexes to the State Comprehensive Emergency Management Plan (CEMP)

Hazard-Specific Annexes

- Coastal Storm Annex
- Drought Management Coordination Annex
- Emerging Infectious Diseases in Non-Human Populations Appendix
- Hazardous Materials Annex
- Pandemic Annex
- Radiological Emergency Preparedness Plan
- State Energy Emergency Annex (Maintained by NYSERDA)

Emergency Support Function (ESF) Annexes

- ESF #1 Transportation Annex
- ESF #2 Communications Annex
- ESF #3 Public Works and Engineering Annex
- ESF #4 Firefighting Annex
- ESF #5 Information and Planning Annex
- ESF #6 Mass Care, Emergency Assistance, Housing, and Human Services Annex
- ESF #7 Logistics Annex
- ESF #8 Public Health and Medical Services Annex
- ESF #9 Search and Rescue Annex
- ESF #10 Oil and Hazardous Materials Response Annex
- ESF #11 Agriculture and Natural Resources Annex
- ESF #12 Energy Annex
- ESF #13 Public Safety and Security Annex
- ESF #15 External Affairs Annex

Functional (Support) Annexes

- Distribution and Inventory Management Annex
- Emergency Public Information Annex
- Logistics Annex
- Mass Care Appendix
- Fatality Management Annex
- Temporary Emergency Animal Sheltering Appendix
- Volunteer and Donations Management Appendix
- Emergency Repatriation Annex

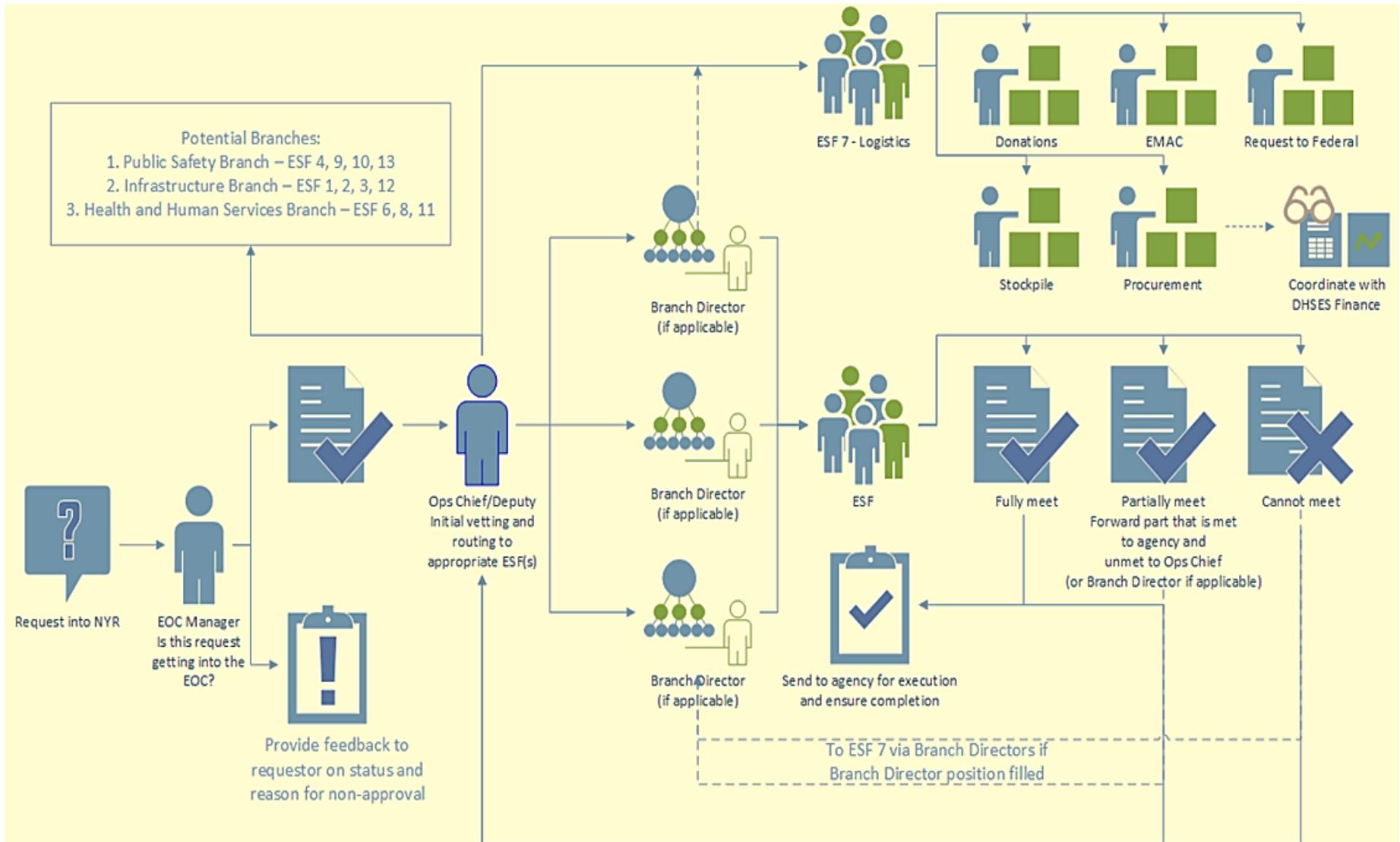
Attachment 2: State EOC Activation Level Matrix

State EOC Activation Level	Level of Response Activity	EOC Posture and Function	ICS Components / Coordination
<u>Steady-State – Continuous Monitoring</u>	<ul style="list-style-type: none"> Routine monitoring of the statewide situation by State agencies. 	<ul style="list-style-type: none"> State OEM maintains the State EOC in a readiness posture, while conducting normal day-to-day operations, and conducts surveillance and monitoring of any potential emergency. 	<ul style="list-style-type: none"> Day-to-day organizational structures within agencies.
<u>Level 4 – Enhanced Monitoring</u>	<ul style="list-style-type: none"> Focus is State OEM-based actions and reporting. State OEM staff may request information or coordination from agencies external (remote) to the State EOC. Specific agencies may be operating as part of their normal role and function. 	<ul style="list-style-type: none"> State OEM Staff only for enhanced monitoring. Agencies may be asked individually to support any remote operations or reporting from their home agency. 	<ul style="list-style-type: none"> Resource management through Logistics and agencies remotely. Situational Reporting will be done from the State EOC and other agencies may be requested to provide situational awareness remotely.
<u>Level 3 – Partial Activation</u>	<ul style="list-style-type: none"> A situation that warrants the activation of ESF Coordinators and select member agencies. Additional agencies determined at the time of the event as conditions warrant. This level may include the activation of ICS-based sections to provide situational reporting and prepare to increase the State’s response posture. 	<ul style="list-style-type: none"> This level may include the activation by one or more ESFs to respond to discipline-specific events; or to more closely monitor a developing situation or an incident with limited impact; or to prepare to or provide any necessary assistance as allowed by statutory authority. State OEM Regional Staff may mobilize or deploy. 	<ul style="list-style-type: none"> At this point, an <u>initial</u> Multi-Agency Coordination (MAC) group meeting may be convened to discuss the implications of the potential event. The MAC representation will initially include members from agencies as warranted by the hazard. Event-specific MAC representation can be found in each hazard-specific annex to this plan. If warranted, the Director of State OEM or designee (i.e., Operations Section Coordinator, EOC Manager) and the activated ESF Coordinators will jointly identify which agencies of each ESF are required to support the activation level and the current response.

Attachment 2: State EOC Activation Level Matrix

State EOC Activation Level	Level of Response Activity	EOC Posture and Function	ICS Components / Coordination
<p><u>Level 2 – Full Activation</u></p>	<ul style="list-style-type: none"> • A situation that warrants the activation of ESFs and most/all member agencies. • This level may include most or all ESFs and member agencies. 	<ul style="list-style-type: none"> • The Director of State OEM or designee and the activated ESF Coordinators will jointly identify which agencies of each ESF are required to support the activation level and the current response. • Agency Departmental Operations Centers (ADOCs) likely to activate at this point. • State OEM Regional Staff will be mobilized or deployed. • IMTs will be deployed as needed. 	<ul style="list-style-type: none"> • At this point, a MAC group (virtual) <u>may</u> be activated to define the executive policies in the State's response. • State OEM regional staff support tactical operations, coordinate activities through the local command structure. • IMT support to local government or may serve as the State-counterpart to federal response (i.e., PFO, FOSC); may establish Area Command, if appropriate.
<p><u>Level 1 – Full State/ Federal Response</u></p>	<ul style="list-style-type: none"> • A situation that warrants the activation of all State ESFs and most/all member agencies, with a Federal Command/General Staff presence and Federal ESFs accounted for in the State EOC. 	<ul style="list-style-type: none"> • The activities of the State ESFs will be integrated with those of their Federal ESF counterparts. • The Director of State OEM or designee and the activated ESF Coordinators will jointly identify which agencies of each ESF are required to support the activation level. • ADOCs Centers will be activated. • State OEM Regional Staff will remain mobilized or deployed. • IMTs will be deployed at this point. 	<ul style="list-style-type: none"> • At this point, a MAC group (virtual) <u>will</u> be activated to define the executive policies in the State's response. • State OEM regional staff will support tactical operations, coordinate activities through the local command structure. • IMT will support local government or may serve as the State-counterpart to federal response (i.e., PFO, FOSC); may establish Area Command, if appropriate.

Attachment 3: State EOC Mission Flow Chart



Attachment 4: State EOC Organizational Chart

